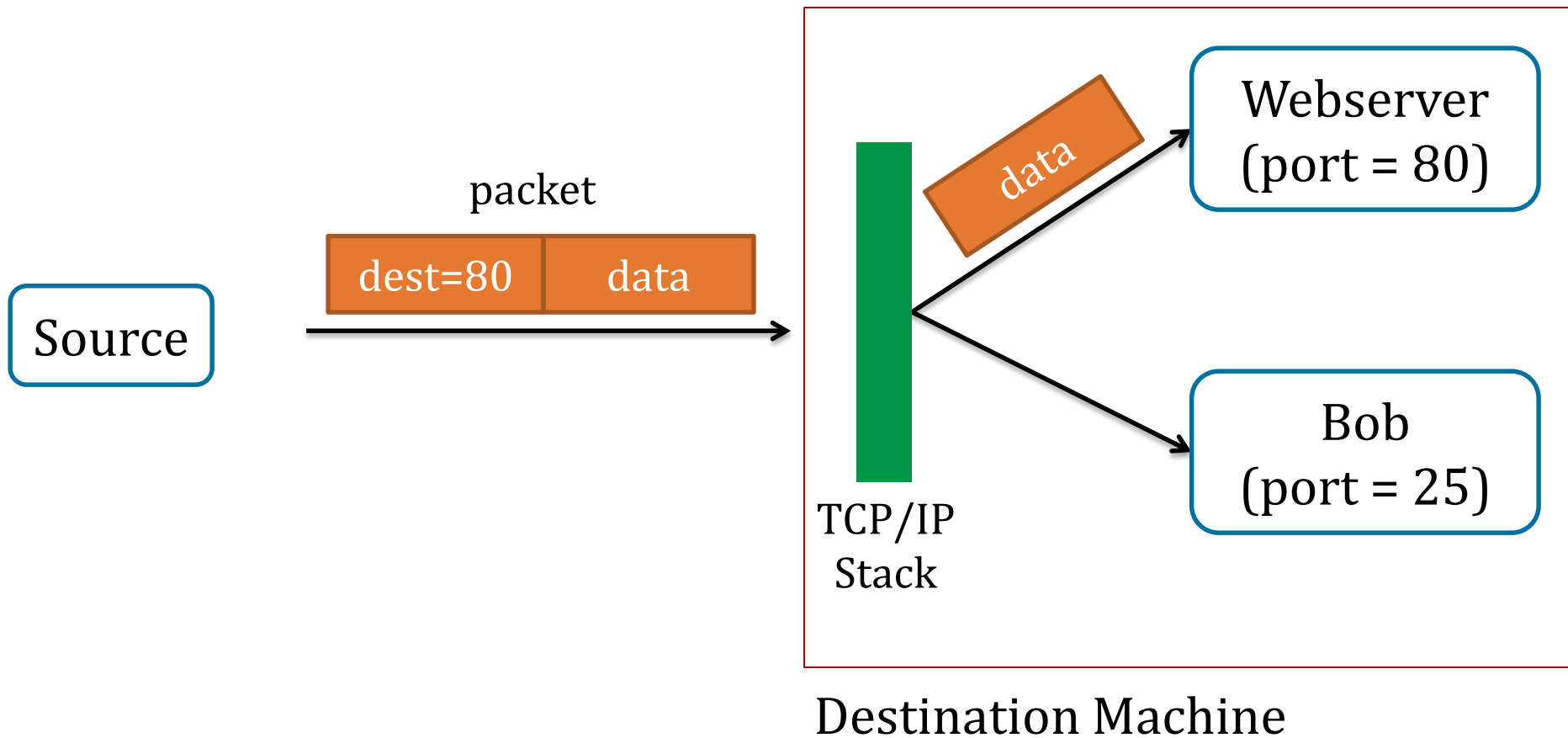


Authenticated Encryption and Cryptographic Network Protocols

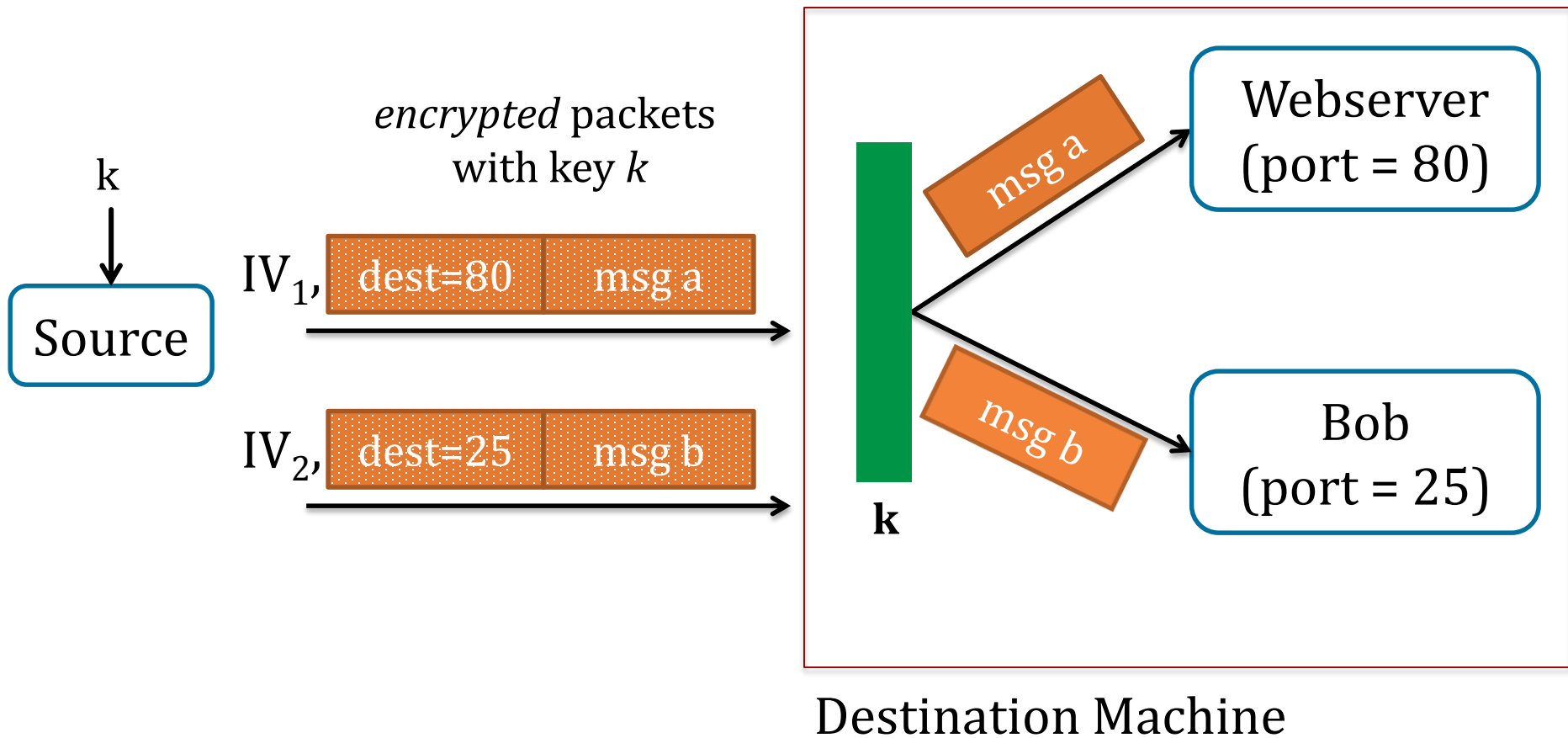
David Brumley
dbrumley@cmu.edu
Carnegie Mellon University

Some Straw Men

TCP/IP (highly abstracted)

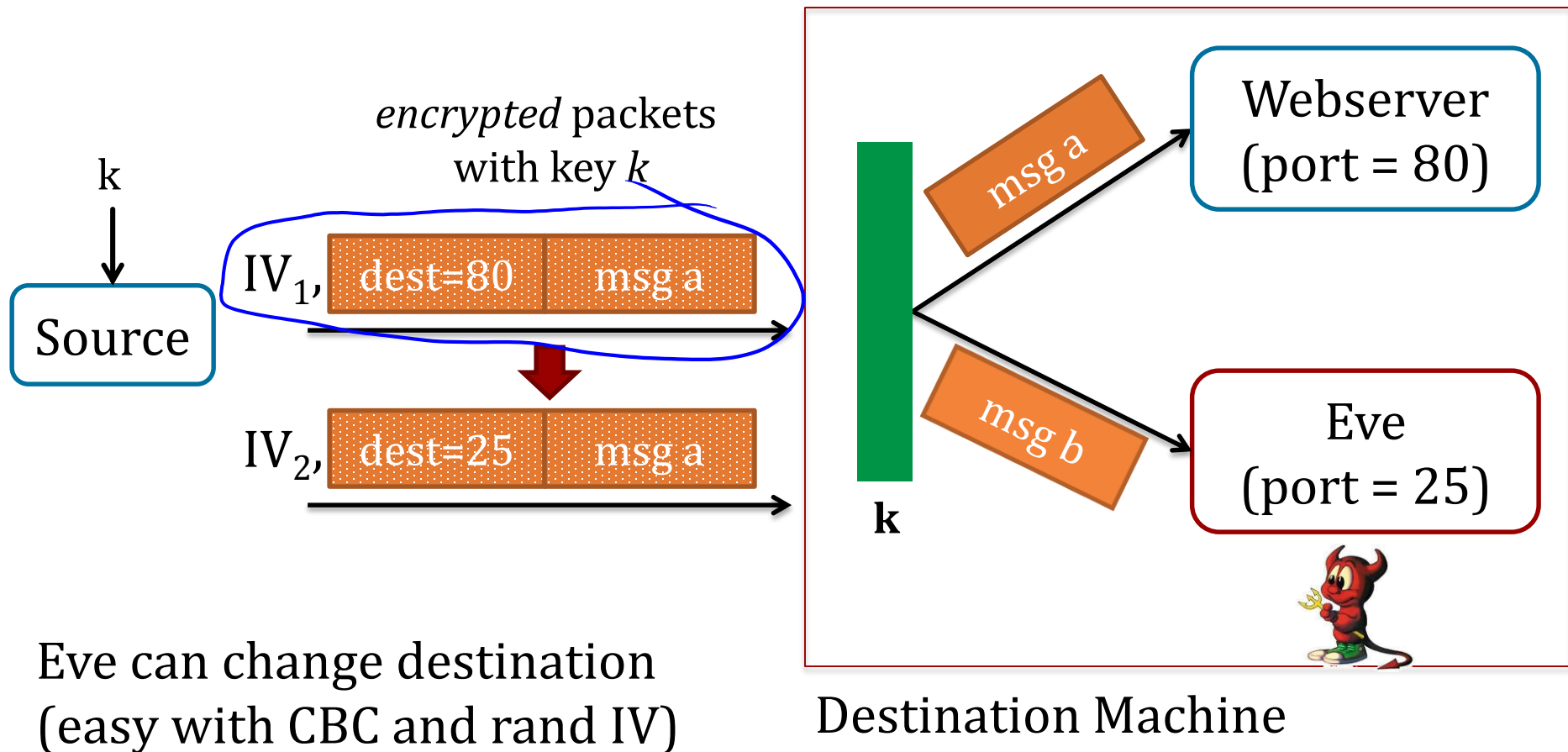


Encrypted with CBC and random IV



Example Tampering Attack

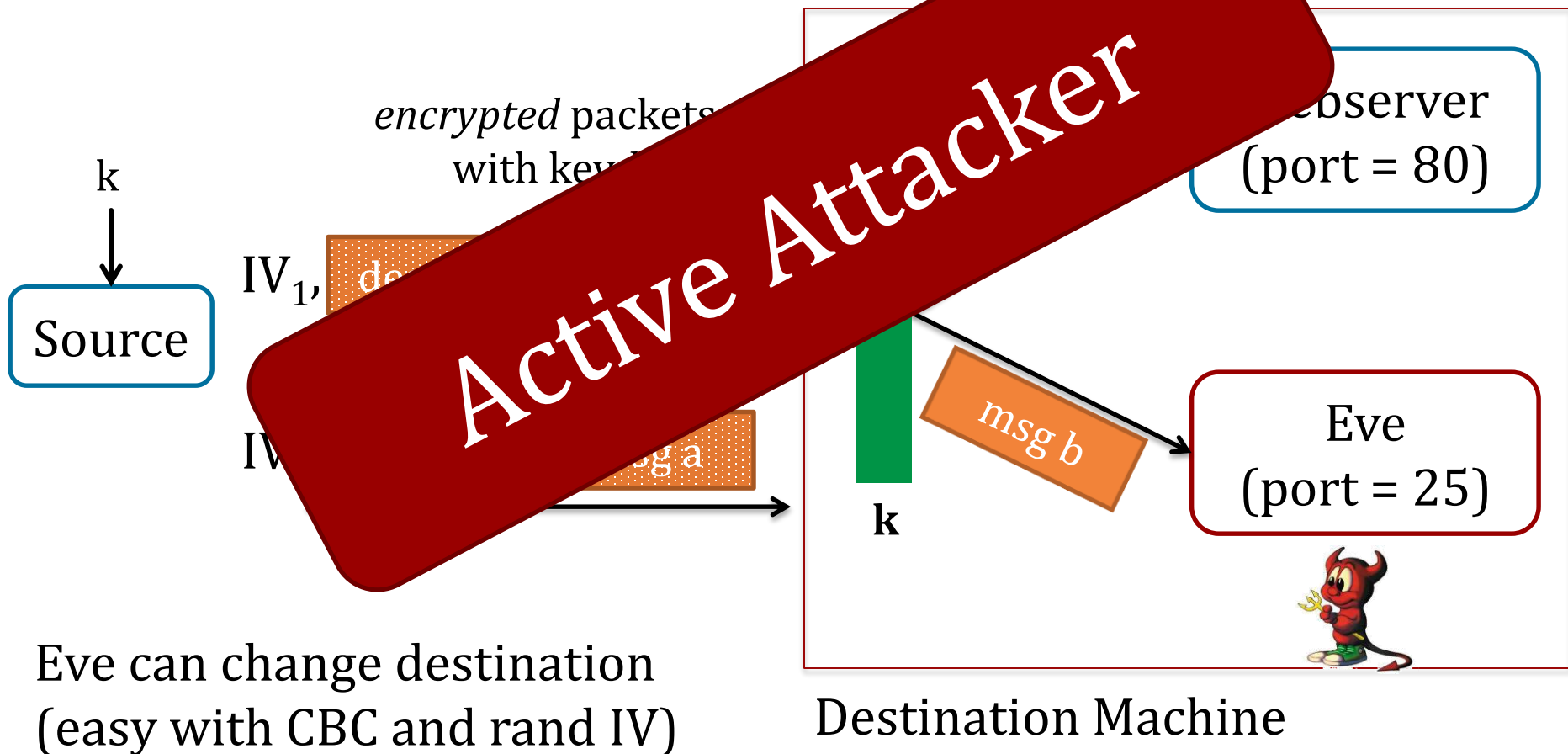
Encrypted with CBC and random IV



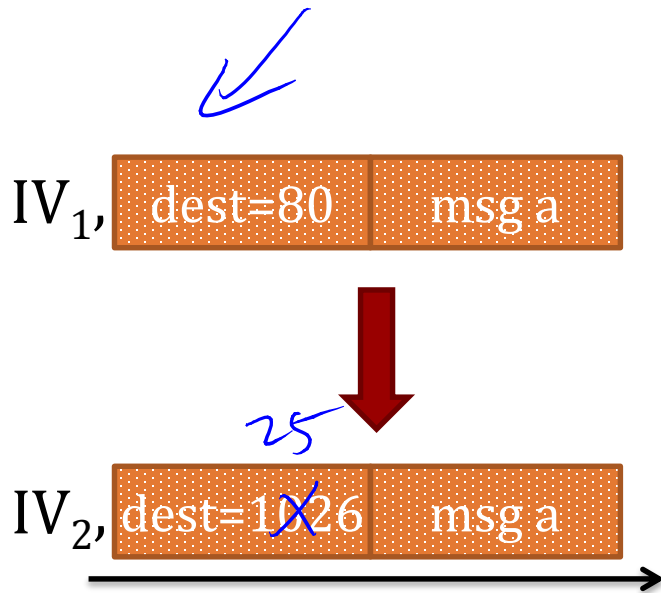
Example Tampering Attack

Man in the Middle

Encrypted with CBC and random



How?



Eve

CBC encryption:

$$D(k, c[0]) \oplus IV_1 = \text{"dest=80"}$$

Attack:

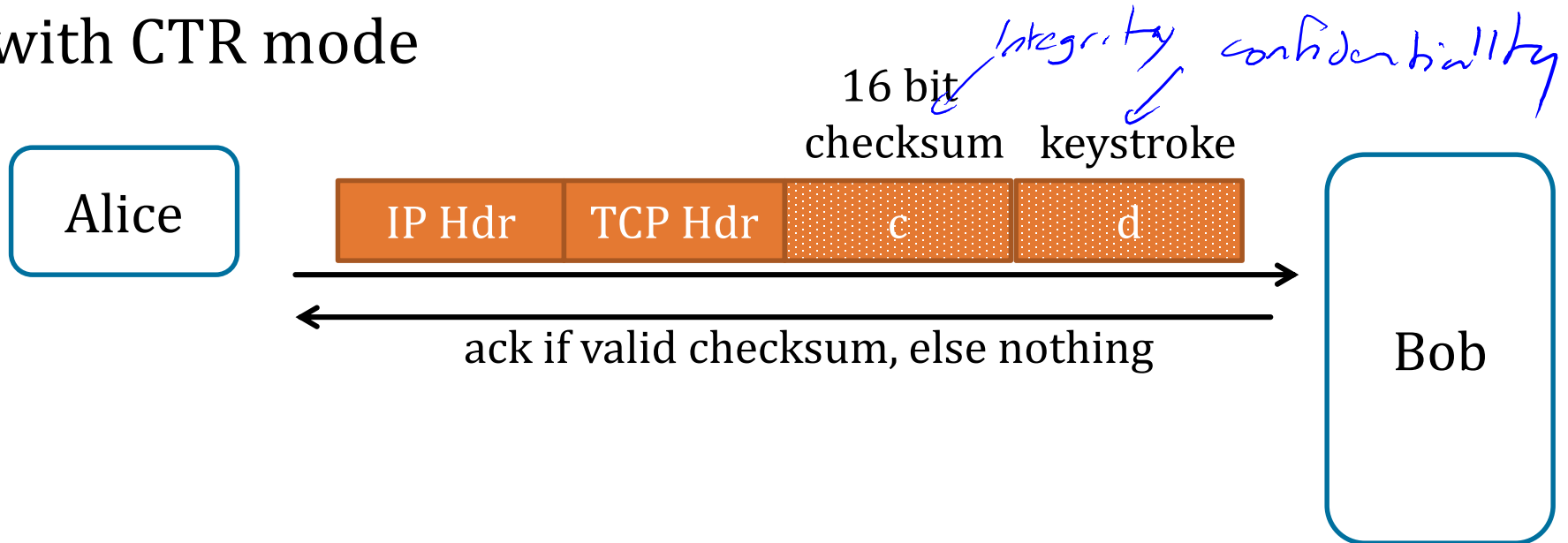
$$IV_2 = IV_1 \oplus 000\dots 80 \oplus 000\dots 25$$

xor out "80" and
xor in "1026"

An Attack Using Only Network Access

Example:

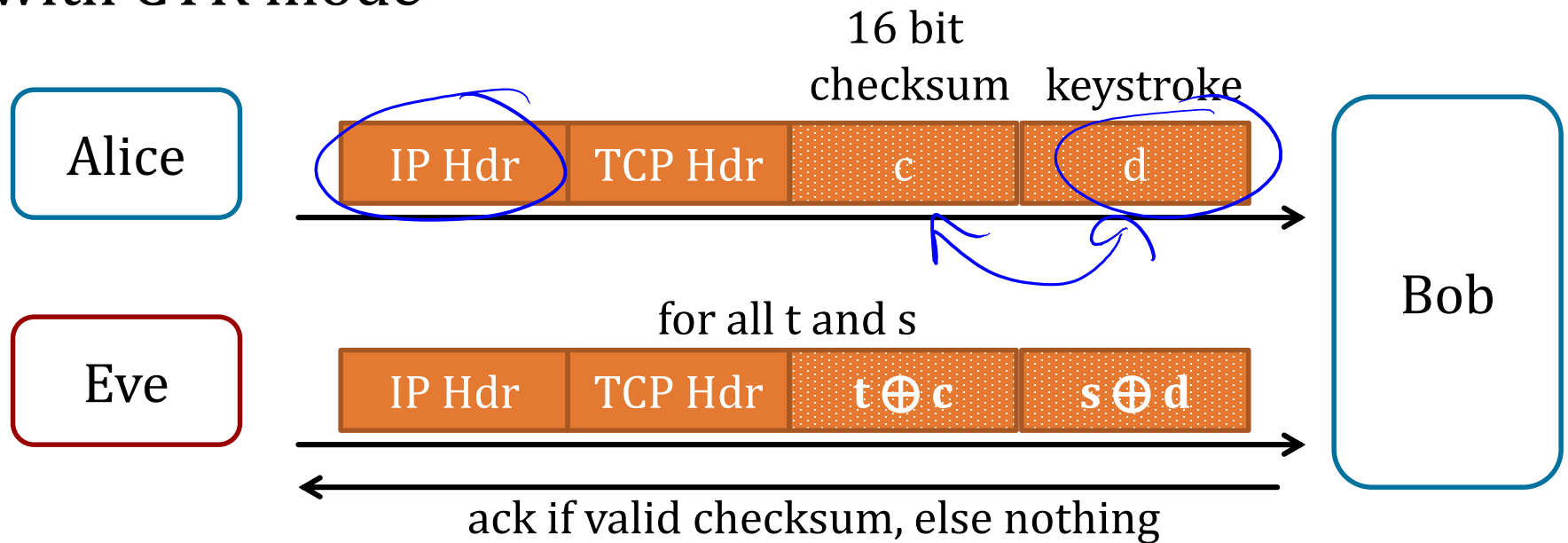
Remote terminal app where each keystroke encrypted with CTR mode



An Attack Using Only Network Access

Example:

Remote terminal app where each keystroke encrypted with CTR mode



$$\{ \text{checksum}(\text{hdr}, d) = t \oplus \text{checksum}(\text{hdr}, d \oplus s) \} \Rightarrow$$

Even can find d for many realistic checksums*

* potentially not for TCP checksum

The Story So Far

Confidentiality: semantic security against a CPA attack

- Examples: Using CBC with a PRP, AES

Integrity: security against existential forgery

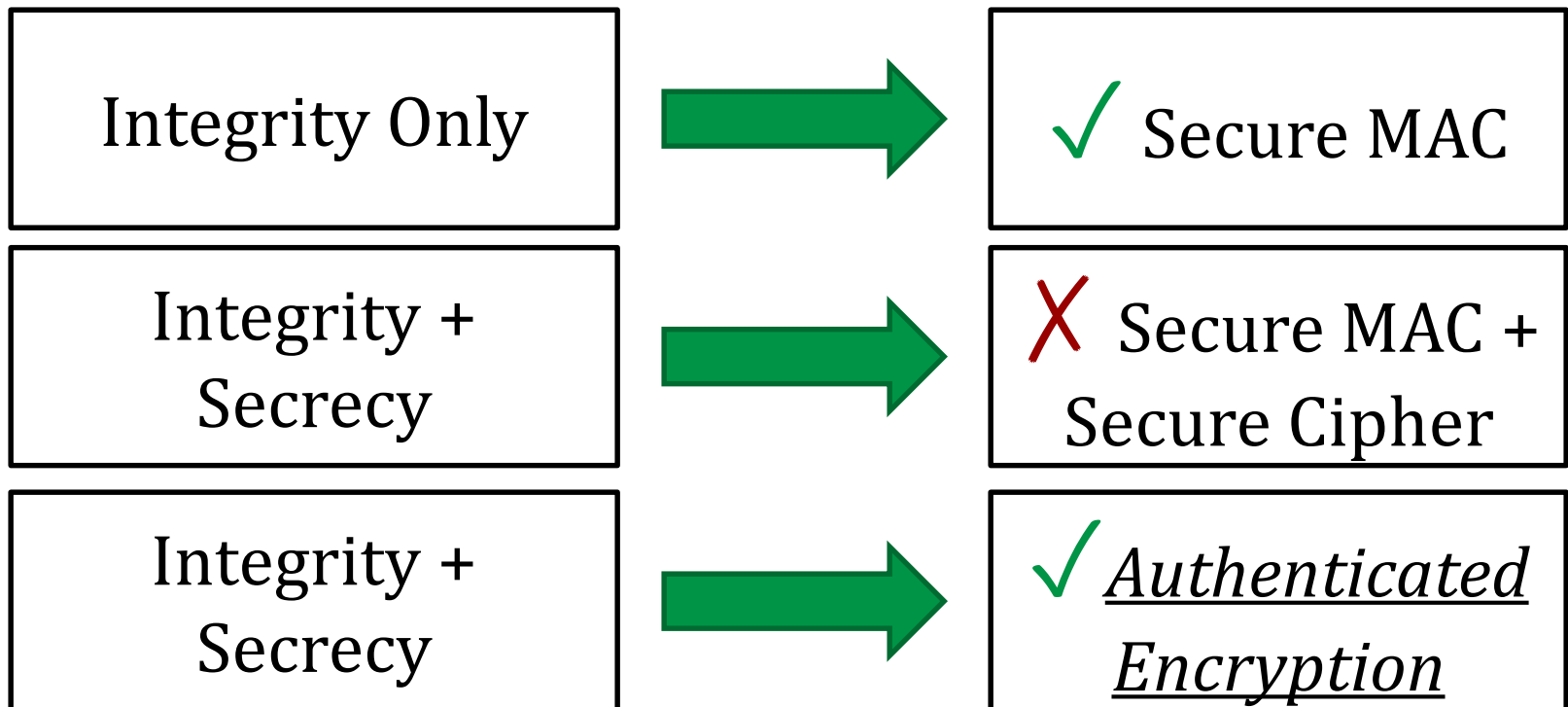
- Examples: CBC-MAC, NMAC, PMAC, HMAC

Now: security against *tampering*

- Integrity + Confidentiality!

The lesson

CPA security cannot guarantee secrecy under active attacks.



2003

Motivating Question: Which is Best?

Encryption Key = K_E ; MAC key = k_I

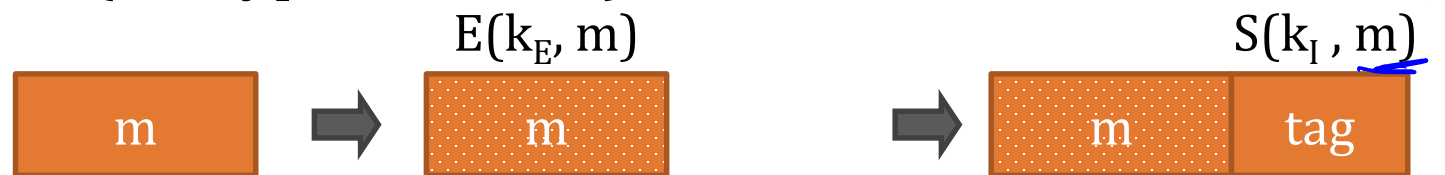
Option 1: SSL (MAC-then-encrypt)



Option 2: IPsec (Encrypt-then-MAC)



Option 3: SSH (Encrypt-and-MAC)



Authenticated Encryption

An authenticated encryption system (E,D) is a cipher where

As usual: $E: K \times M \times N \rightarrow C$

but $D: K \times C \times N \rightarrow M \cup \{\perp\}$



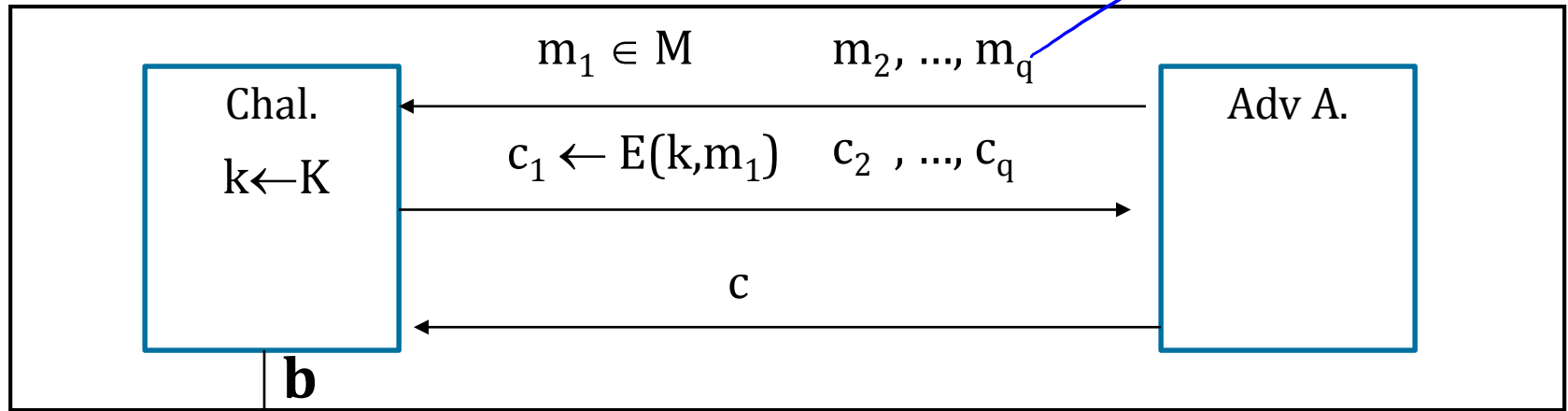
reject ciphertext
as invalid

Security: the system must provide

- Semantic security under CPA attack, **and**
- ciphertext integrity. The attacker cannot create a new ciphertext that decrypts properly.

Ciphertext Integrity ^(t, ε, q) "computational"

For $b = \{0,1\}$, define $\text{EXP}(0)$ and $\text{EXP}(1)$ as:



$$\begin{cases} \mathbf{b}=1 & \text{if } D(k,c) \neq \perp \text{ and } c \notin \{c_1, \dots, c_q\} \\ \mathbf{b}=0 & \text{otherwise} \end{cases}$$

Def: (E,D) has ciphertext integrity iff for all "efficient" A :

$$\text{Adv}_{\text{CI}}[A, I] = \Pr [\text{Chal. outputs } 1] < \varepsilon$$

Authenticated Encryption

Def: cipher (E,D) provides authenticated encryption (AE) if it is

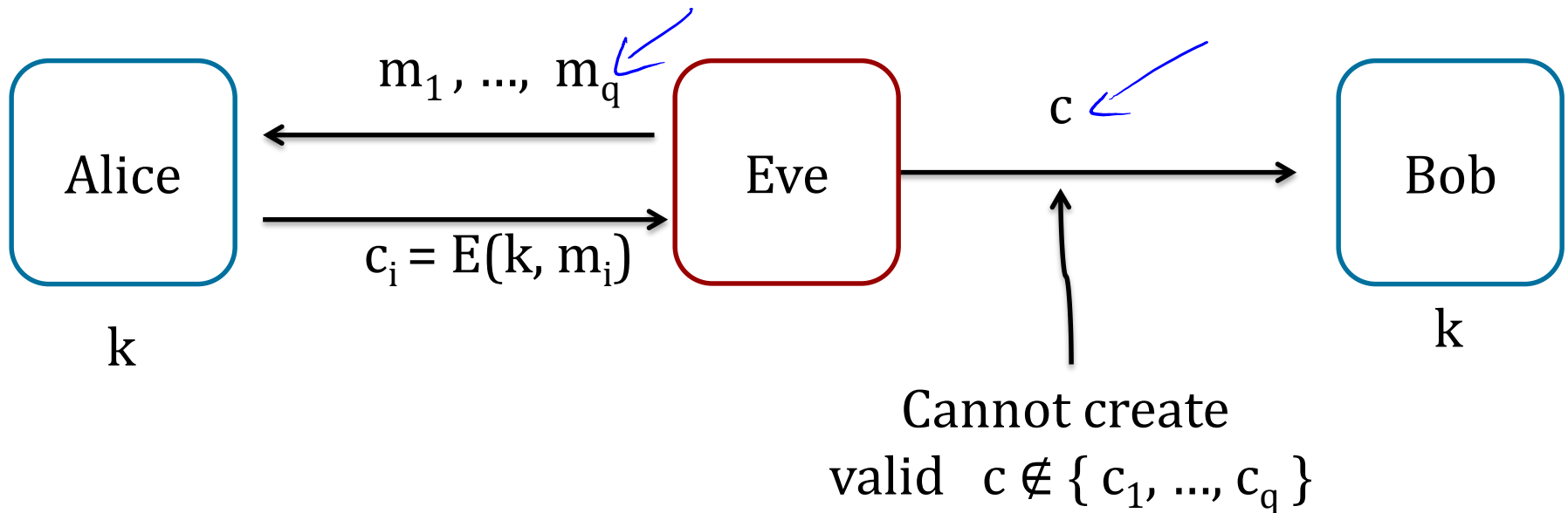
- (1) semantically secure under CPA, and
- (2) has ciphertext integrity

Counter-example: CBC with rand. IV does not provide AE

- $D(k, \cdot)$ never outputs $\perp$, hence adv. always wins ciphertext integrity game

Implication 1: Authenticity

Attacker cannot fool Bob into thinking a message was sent from Alice



$\Rightarrow$ if $D(k, c) \neq \perp$ Bob guaranteed message is from someone who knows k (but could be a replay)

Implication 2

CPA

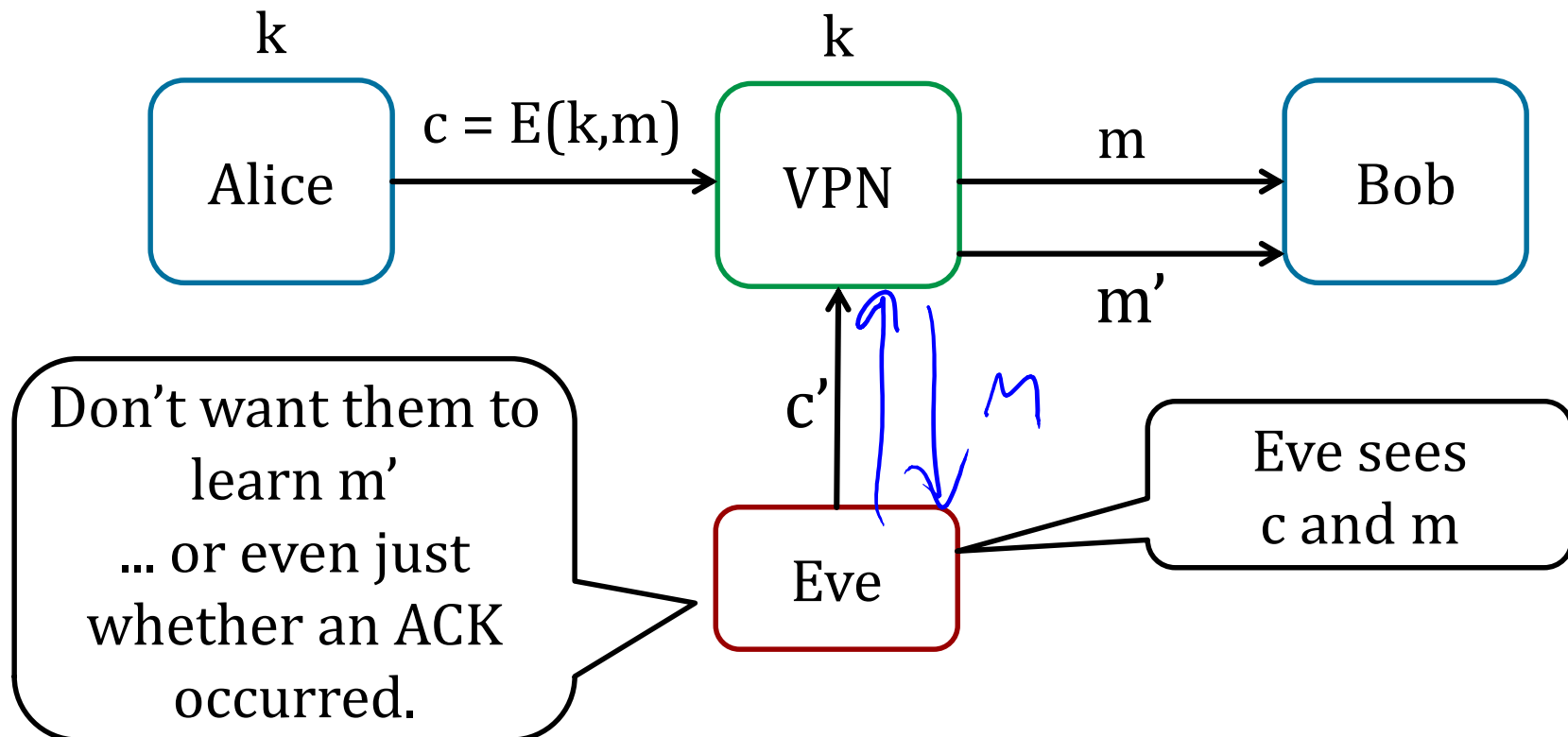
Authenticated encryption $\Rightarrow$

Security against *chosen ciphertext attack*

Chosen Ciphertext Attacks

Chosen Ciphertext Attacks

Def: A CCA adversary has the capability to get ciphertexts of their choosing decrypted.



The Lunchtime CCA Attack

It's
Lunchtime!



Alice's Computer

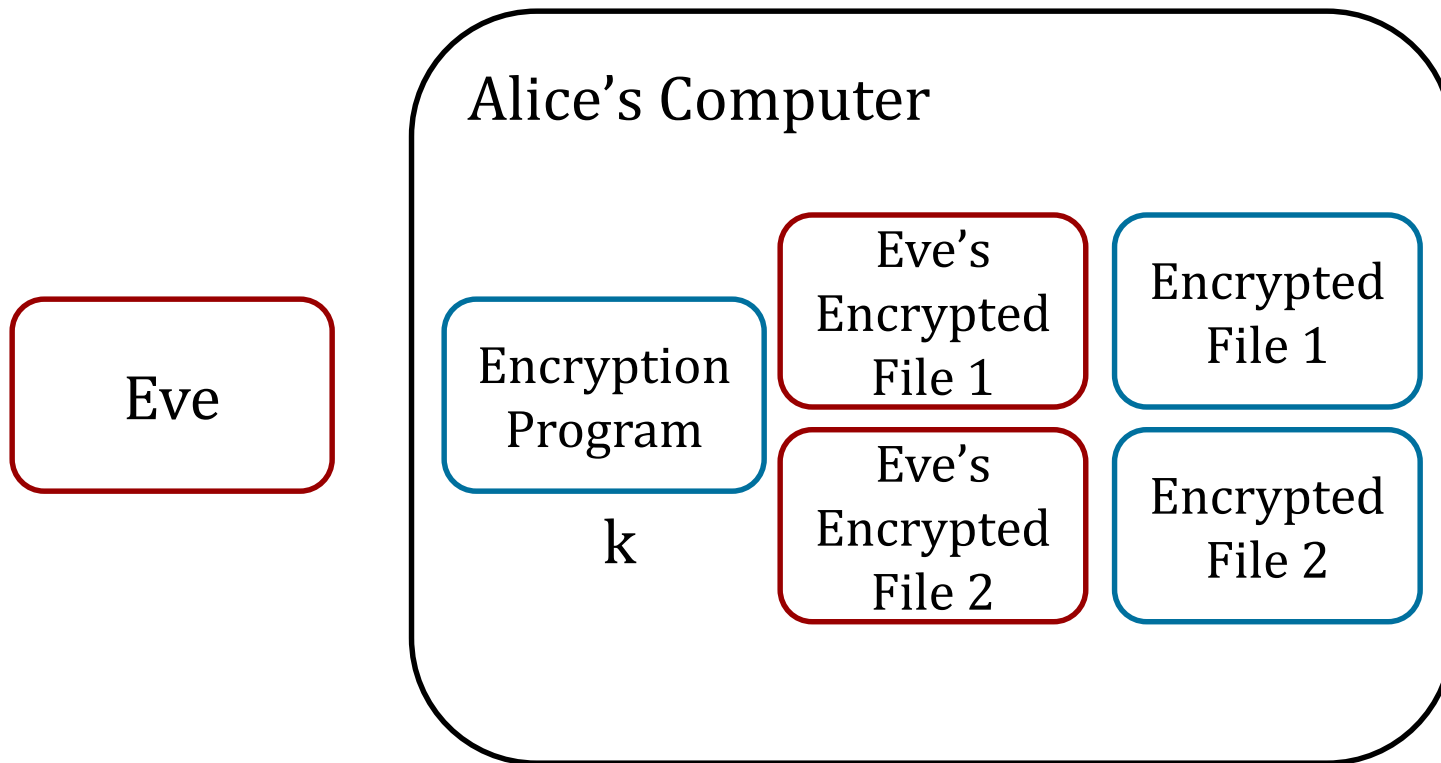
Encryption
Program

k

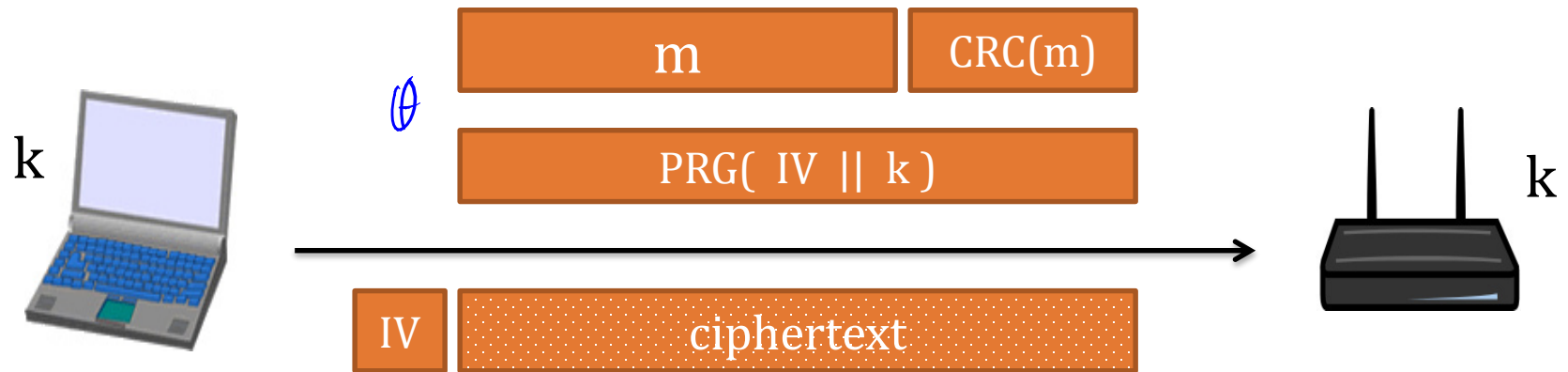
Encrypted
File 1

Encrypted
File 2

The Lunchtime CCA Attack



802.11b WEP: how not to do it

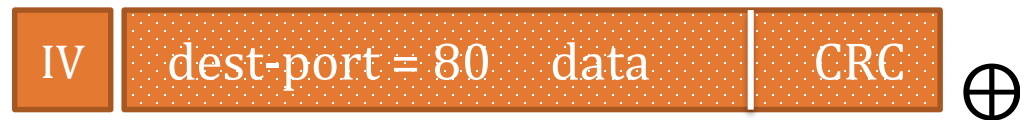


Active attacks

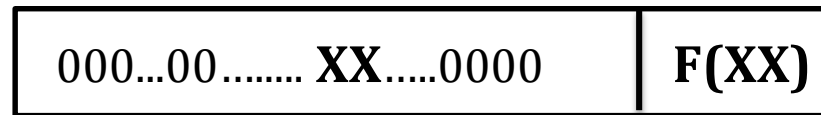
Fact: CRC is linear, i.e.

$$\forall m, p: \text{CRC}(m \oplus p) = \text{CRC}(m) \oplus F(p)$$

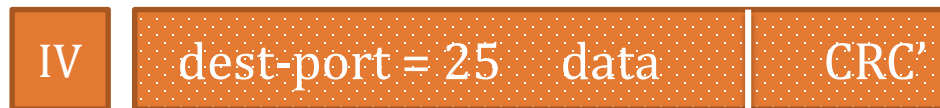
WEP ciphertext:



attacker:



$$XX = 25 \oplus 80$$



Upon decryption CRC is valid,
but ciphertext is changed !!

Chosen Ciphertext Security

Adversaries Power: both CPA and CCA

- Can obtain the encryption of arbitrary messages
- Can decrypt ciphertexts of his choice

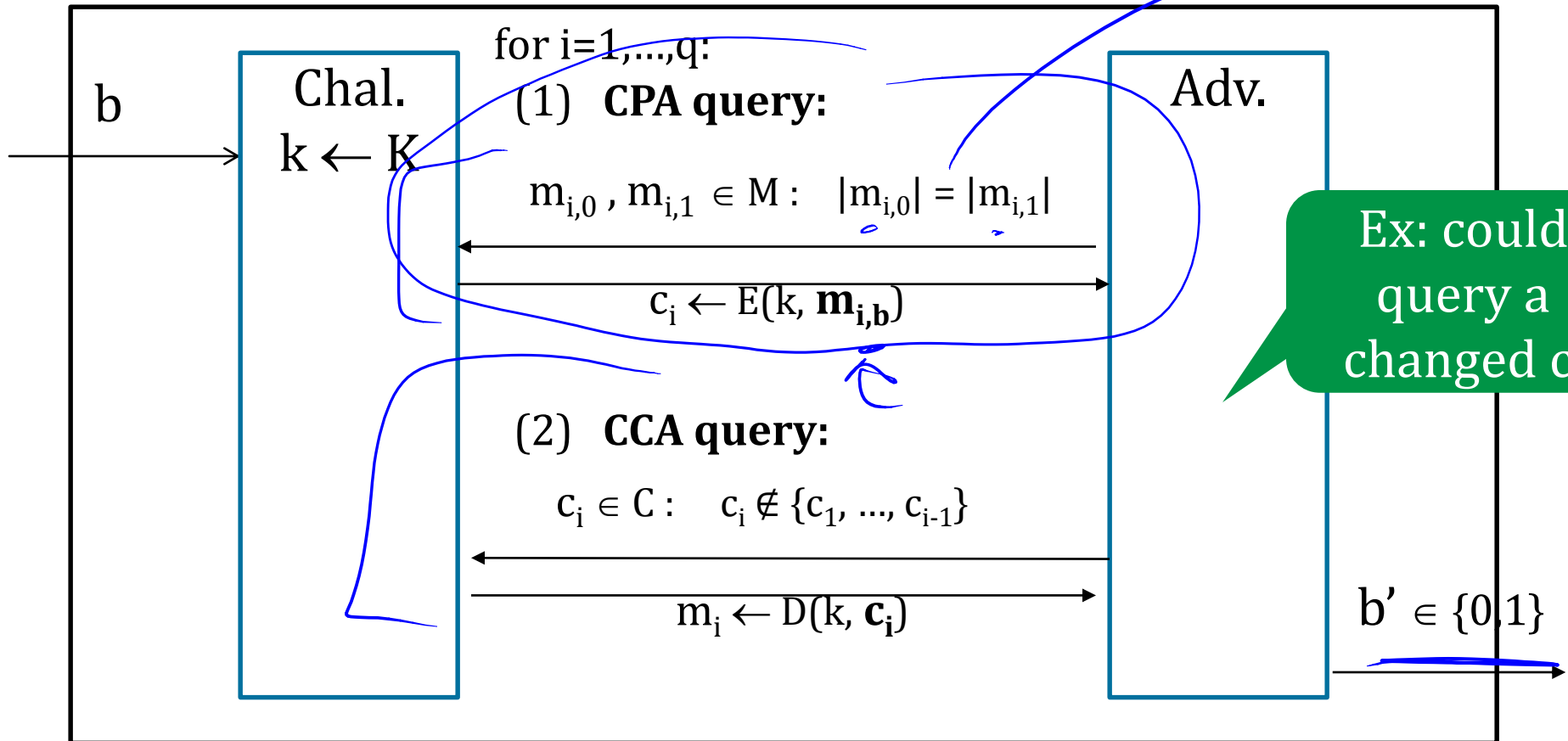
Adversaries Goal: break semantic security

CCA Game Definition

Let $\text{ENC} = (E, D)$ over (K, M, C) .

For $b = \{0, 1\}$, define $\text{EXP}(0)$ and $\text{EXP}(1)$

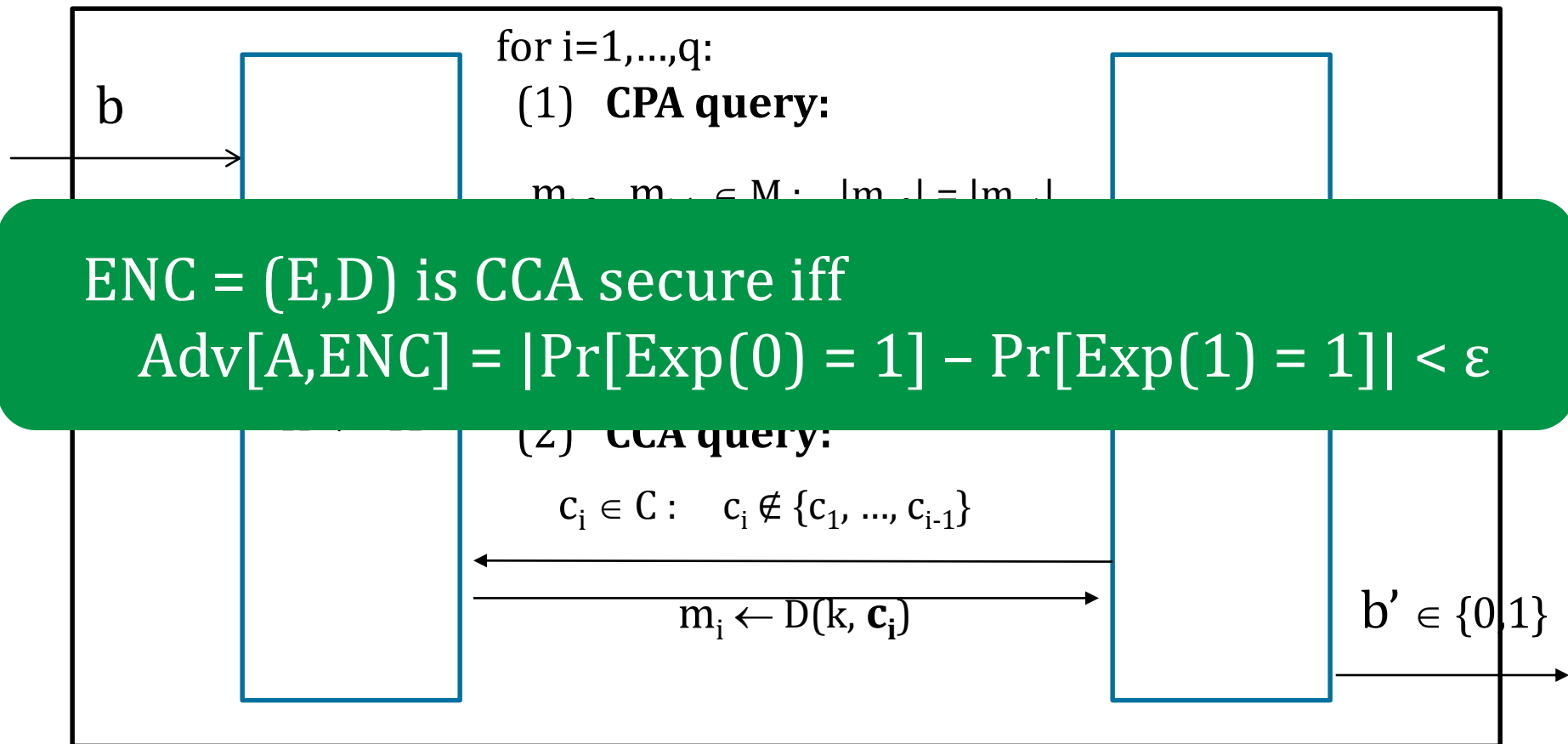
CPA



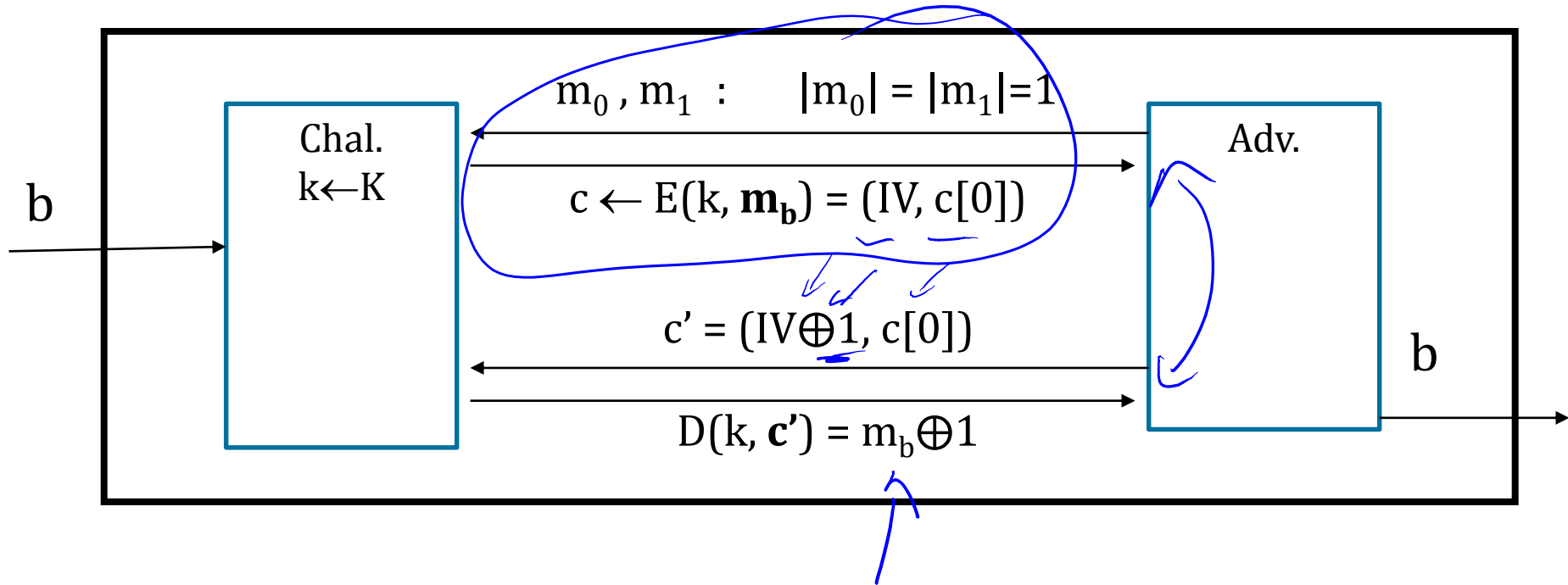
CCA Game Definition

Let $ENC = (E,D)$ over (K,M,C) .

For $b = \{0,1\}$, define $EXP(0)$ and $EXP(1)$



Example: CBC is not CCA Secure



Thm: Let (E,D) be a cipher that provides AE.
Then (E,D) is CCA secure !

In particular, for any q-query eff. A there exist
eff. B_1, B_2 s.t.

$$\text{Adv}_{\text{CCA}}[A,E] \leq 2q \cdot \text{Adv}_{\text{CI}}[B_1,E] + \text{Adv}_{\text{CPA}}[B_2,E]$$

AE implies CCA security!

So What?

Authenticated encryption assures security against:

- A passive adversary (CPA security)
- An active adversary that can even decrypt some ciphertexts (CCA security)

Limitations:

- Does not protect against replay
- Assumes no other information other than message/ciphertext pairs can be learned.
 - Timing attacks out of scope
 - Power attacks out of scope
 - ...

AE Constructions

Cipher + MAC = security

History

Android

Pre 2000: Crypto API's provide *separate* MAC and encrypt primitives

- Example: Microsoft Cryptographic Application Programming Interface (MS-CAPI) provided HMAC and CBC + IV
- Every project had to combine primitives in their own way

2000: Authenticated Encryption

- Bellare and Namprempre in Crypto, 2000
- Katz and Yung in FSE, 2000

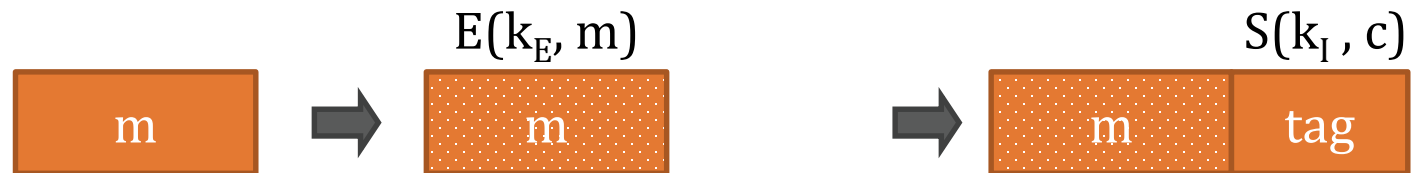
Motivating Question: Which is Best?

Encryption Key = K_E ; MAC key = k_I

Option 1: SSL (MAC-then-encrypt)

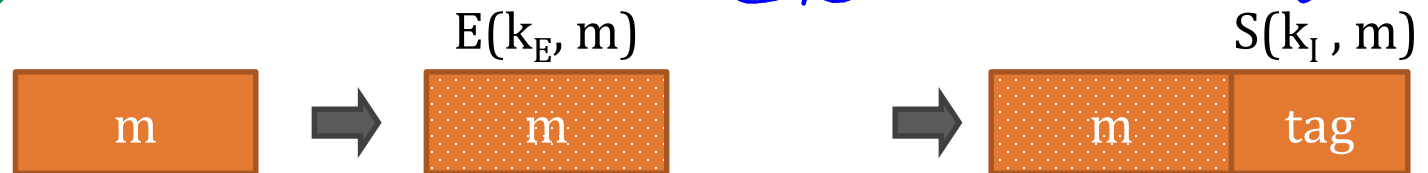


Option 2: IPsec (Encrypt-then-MAC)



Always
Correct

Option 3: SSH (Encrypt-and-MAC)



Theorems

Let (E,D) be a CPA secure cipher and (S,V) a MAC secure against existential forgery. Then:

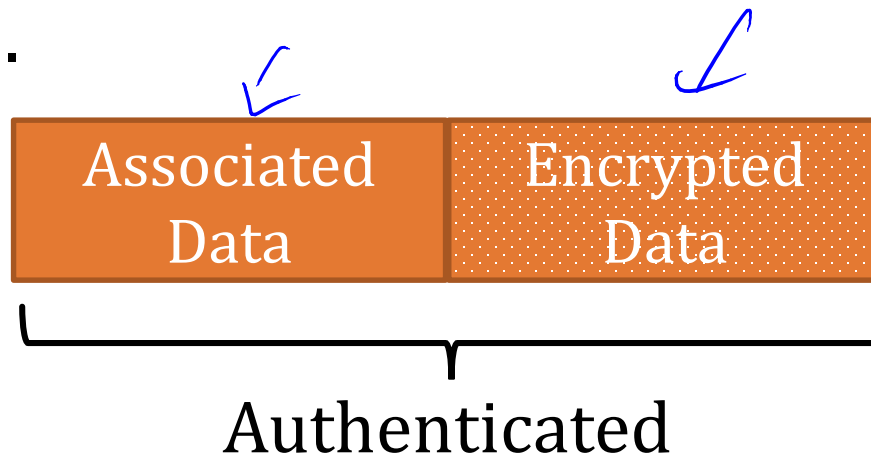
1. Encrypt-then-MAC always provides authenticated encryption
2. MAC-then-encrypt may be insecure against CCA attacks
 - however, when (E,D) is rand-CTR mode or rand-CBC, MAC-then-encrypt provides authenticated encryption

Standards

- GCM: CTR mode encryption then CW-MAC
- CCM: CBC-MAC then CTR mode (802.11i)
- EAX: CTR mode encryption then CMAC

All are nonce-based.

All support *Authenticated Encryption with Associated Data (AEAD)*.



An example API (OpenSSL)

```
int AES_GCM_Init(AES_GCM_CTX *ain,  
    unsigned char *nonce, unsigned long  
noncelen,  
    unsigned char *key, unsigned int klen )
```

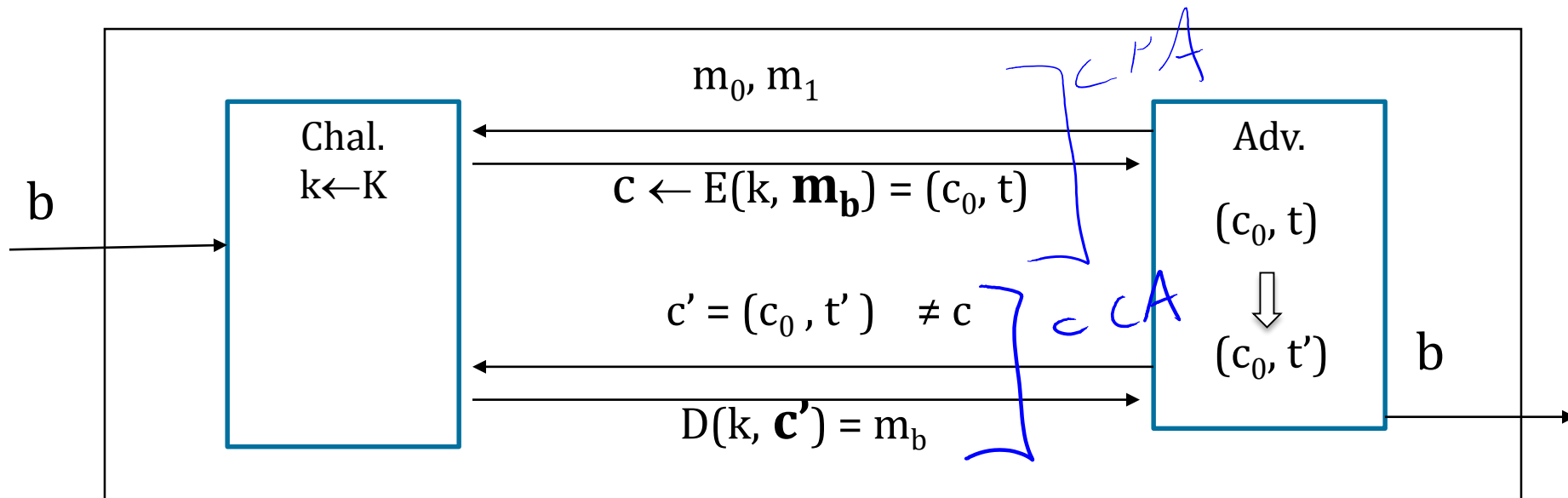
```
int AES_GCM_EncryptUpdate(AES_GCM_CTX *a,  
    unsigned char *aad, unsigned long aadlen,  
    unsigned char *data, unsigned long datalen,  
    unsigned char *out, unsigned long *outlen)
```

MAC Security -- an explanation

Recall: MAC security required an attacker given (m, t) couldn't find a different t' such that (m, t') is a valid MAC

Why? Suppose not: $(m, t) \rightarrow (m, t')$

Then Encrypt-then-MAC would not have Ciphertext Integrity !!



Performance

From Crypto++ 5.6.0 [Wei Dai]

AE Cipher	Code Size	Speed (MB/sec)	Raw Cipher	Raw Speed
AES/GCM	Large	108 	AES/CTR	139 
AES/CCM	smaller	61	AES/CBC	109
AES/EAX	smaller	61	AES/CMAC	109
AES/OCB*	small	129 	HMAC/SHA1	147 

* OCB mode may have patent issues. Speed extrapolated from Ted Kravitz's results.

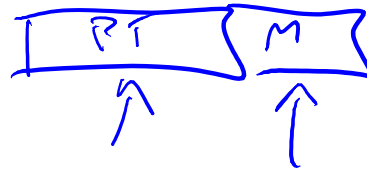
Summary

Encrypt-then-MAC

- Provides integrity of CT
- Plaintext integrity
- If cipher is malleable, we detect invalid CT
- MAC provides no information about PT since it's over the encryption

MAC-then-Encrypt

- No integrity of CT
- Plaintext integrity
- If cipher is malleable, can change message w/o detection
- MAC provides no information on PT since encrypted



Encrypt-and-MAC

- No integrity on CT
- Integrity of PT can be verified
- If cipher is malleable, contents of CT can be altered; should detect at PT level
- May reveal info about PT in the MAC (e.g., MAC of same messages are the same)

$M("David") = Da$

Wrapup

- Authenticated Encryption
 - Chosen Ciphertext Attack (CCA) and CCA-secure ciphers
 - AE game = CCA + CPA secure
- Encrypt-then-MAC always right
 - Don't roll your own

2000



Questions?

Case Study: TLS



Certificates bind a public key to a user

Certificate Authority (CA) binds certificate to person





Alice



Alice Sends:

User ID || public key || ...



Alice



Alice Generates and Gives:

User ID || public key || ...

CA Computes:

$D = H(\text{User ID} || \text{public key} || \dots)$

$\text{Sig} = \text{Sign}(D, \text{CA private key})$

Gives Alice *Sig*



Alice



Alice Generates and Gives:

User ID || public key || ...

CA Computes:

$D = H(\text{User ID} || \text{public key} || \dots)$

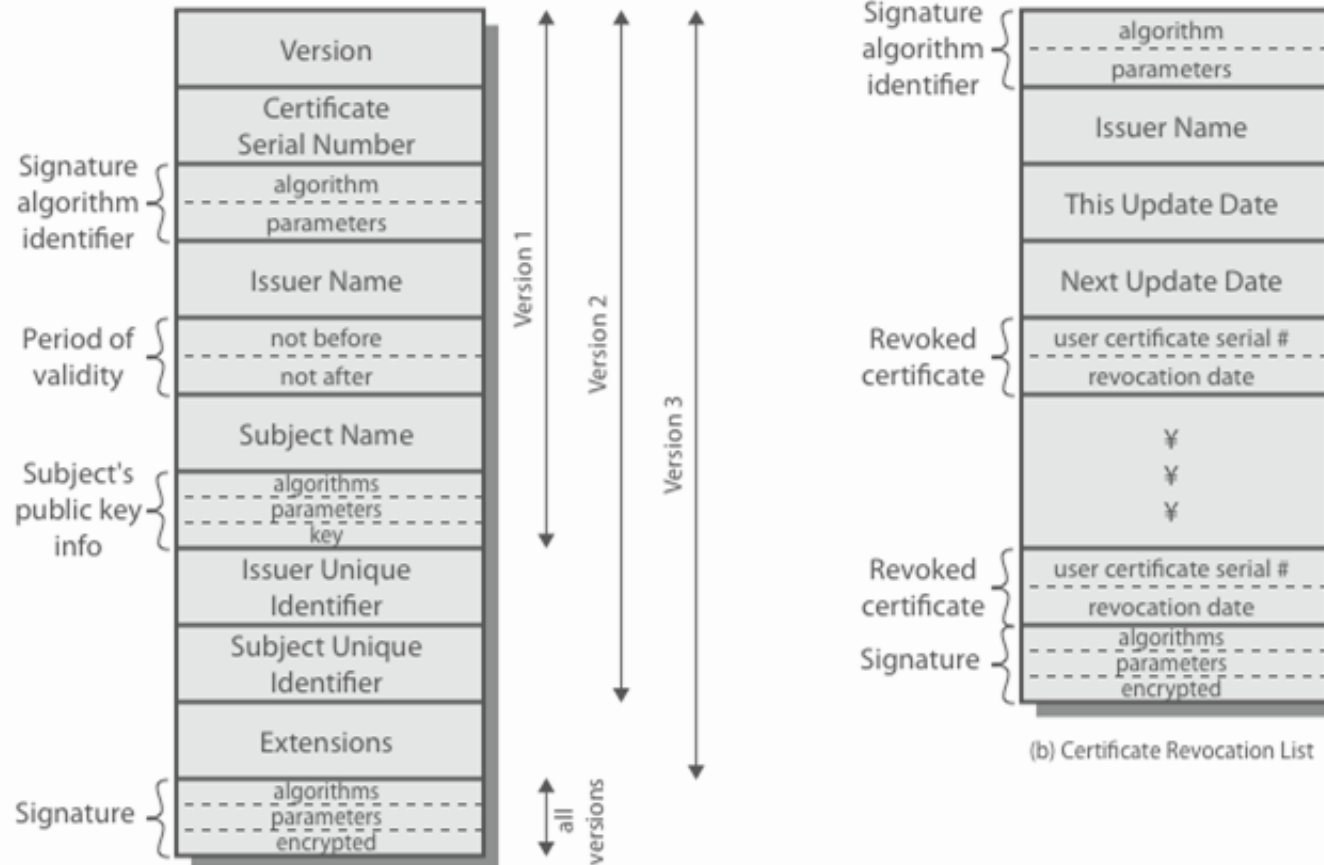
$\text{Sig} = \text{Sign}(D, \text{Serial}, \text{CA private key})$

Gives Alice $\langle \text{Sig}, \text{Serial} \rangle$

Alice's Certificate

$[\text{User ID} || \text{public key} || \dots] || \text{CA Name} || \text{Serial} || \text{Sig} || \langle \text{add. params} \rangle$

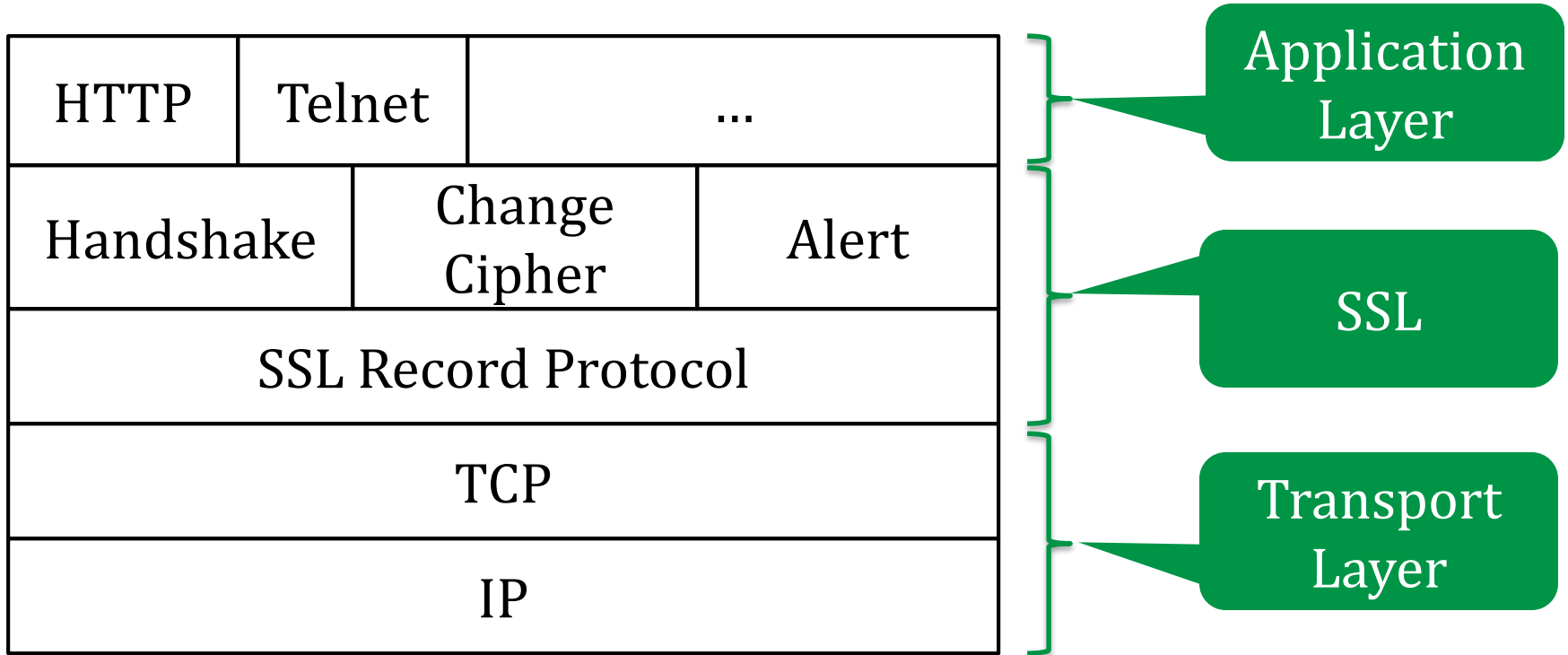
X.509 Certificates



TLS and SSL

- Transport Layer Security (TLS)
 - Secure socket layer (SSL) predecessor
 - originally developed by Netscape
 - version 3 designed with public input
 - RFC 2246
- Uses TCP to provide a reliable end-to-end service

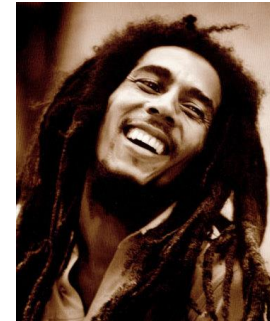
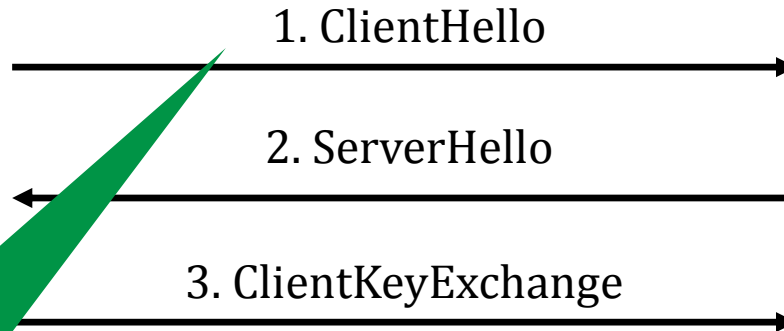
Protocol Stack



Session Establishment



Alice



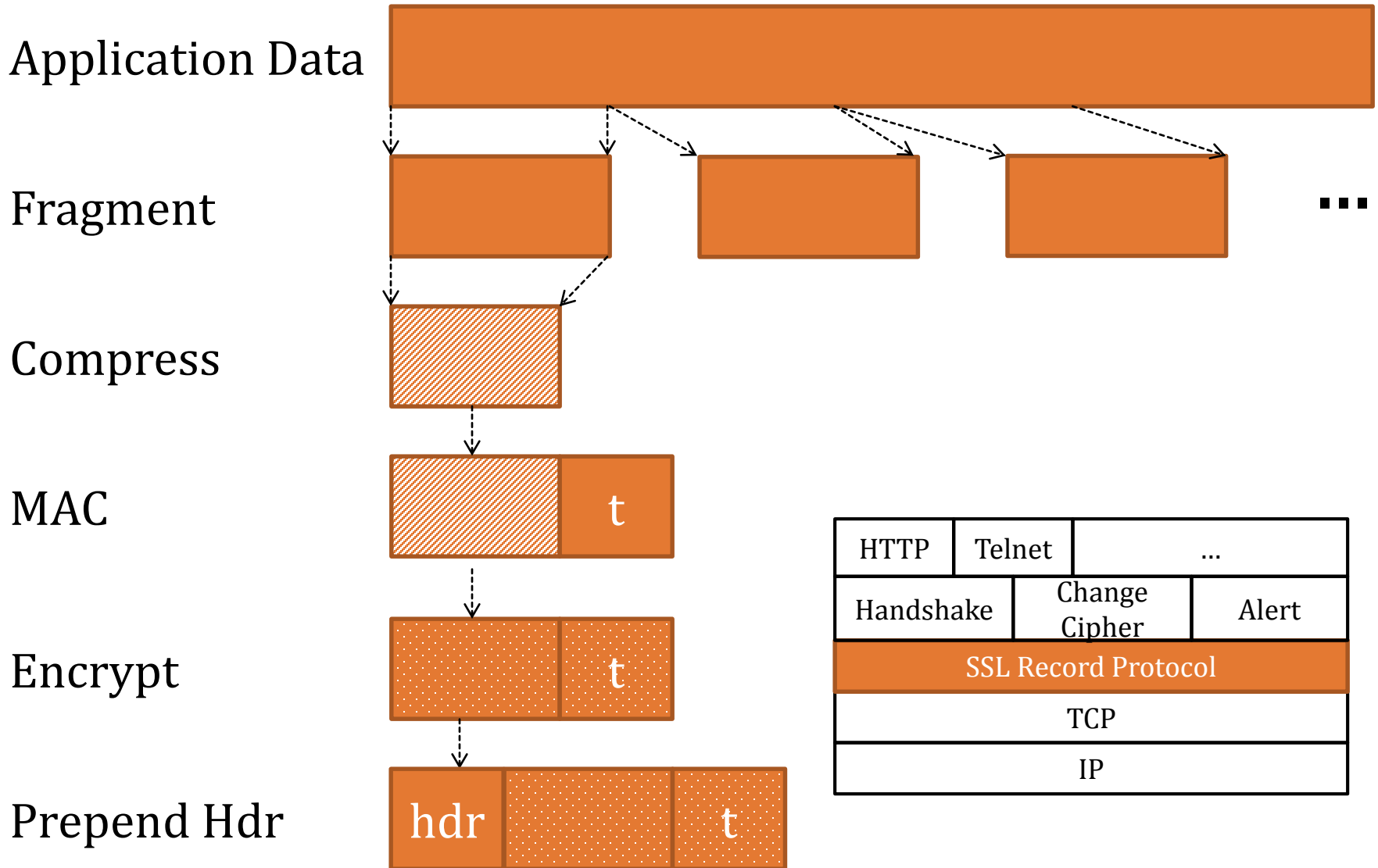
Bob.com

supported
MAC's and ciphers

Encrypt with symmetric cipher using shared secret

HTTP	Telnet	...	
Handshake		Change Cipher	Alert
SSL Record Protocol			
TCP			
IP			

Protocol Record



Other Fields

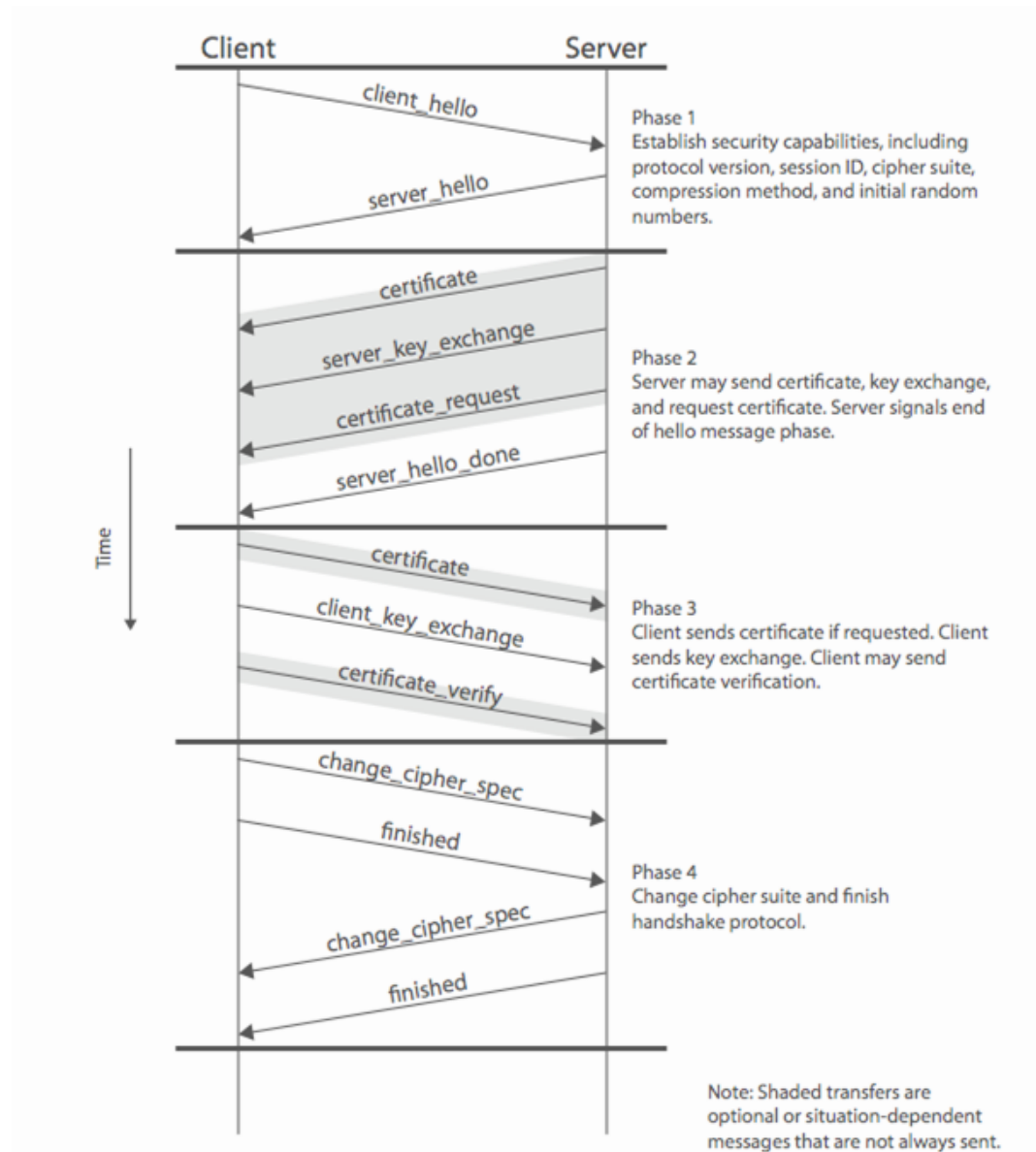
Change cipher: Re-initiate handshake protocol, e.g., to re-negotiate the keying material used for encryption

Alert: Signal warning or fatal problem

- Fatal: unexpected message, bad record mac, decompression failure, handshake failure, illegal parameter
- Warning: close notify, no certificate, bad certificate, unsupported certificate, certificate revoked, certificate expired, certificate unknown

HTTP	Telnet	...
Handshake	Change Cipher	Alert
SSL Record Protocol		
TCP		
IP		

Detailed Protocol



TLS Crypto



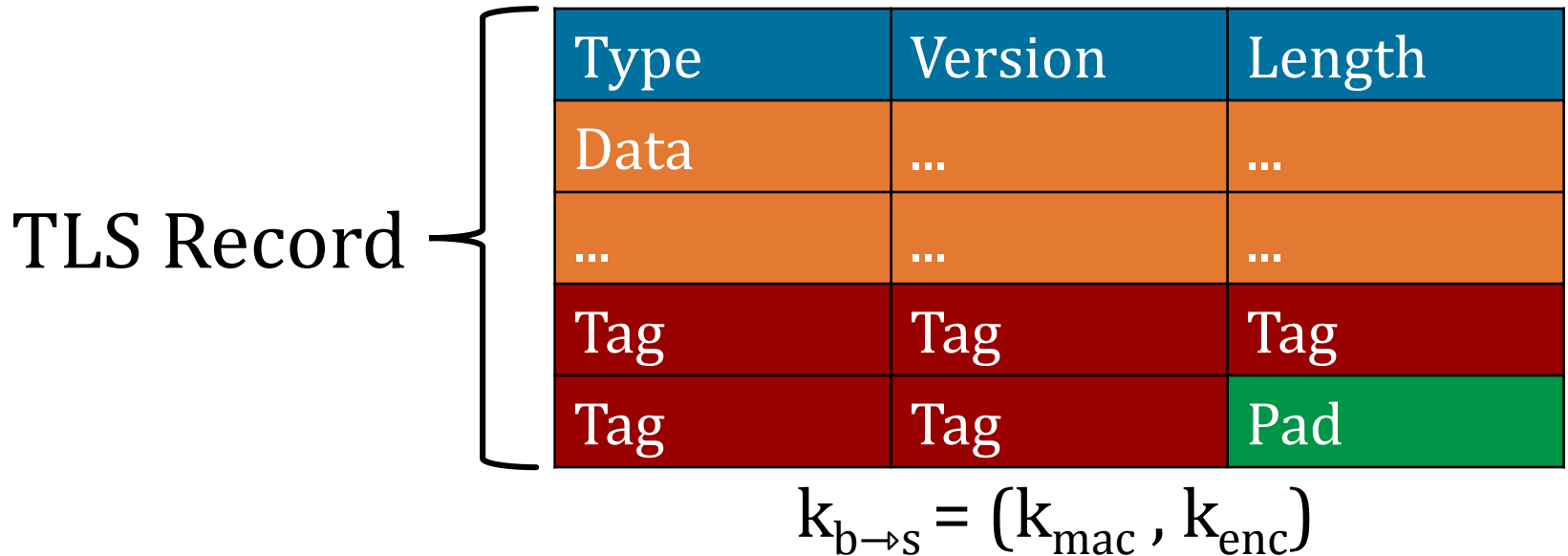
Unidirectional keys: $k_{b \rightarrow s}, k_{s \rightarrow b}$

Stateful encryption:

- Each side maintains two 64-bit counters: $ctr_{b \rightarrow s}, ctr_{s \rightarrow b}$
- Init. to 0 when session started. $ctr++$ for every record.
- Purpose: replay defense

TLS Record Encryption

(CBC AES-128, HMAC-SHA1)



Browser side **enc**($k_{b \rightarrow s}$, data, $\text{ctr}_{b \rightarrow s}$) :

- step 1: $\text{tag} \leftarrow S(k_{\text{mac}}, [++\text{ctr}_{b \rightarrow s} \parallel \text{header} \parallel \text{data}])$
- step 2: pad $[\text{header} \parallel \text{data} \parallel \text{tag}]$ to AES block size
- step 3: CBC encrypt with k_{enc} and new random IV
- step 4: prepend header

TLS Record Decryption

(CBC AES-128, HMAC-SHA1)

Server side **dec**($k_{b \rightarrow s}$, **record**, $ctr_{b \rightarrow s}$) :

step 1: CBC decrypt record using k_{enc}

step 2: check pad format, send **bad_record_mac** if invalid

step 3: check tag on $[++ctr_{b \rightarrow s} || \text{header} || \text{data}]$
send **bad_record_mac** if invalid

Provides authenticated encryption

(provided no other info. is leaked during decryption)

TLS Record Decryption

(CBC AES-128, HMAC-SHA1)

Server side **dec**($k_{b \rightarrow s}$, **record**, $ctr_{b \rightarrow s}$) :

step 1: CBC decrypt record using k_{enc}

step 2: check pad format, send **decryption_failed** if invalid

step 3: check tag on [++ $ctr_{b \rightarrow s}$ || header || data]
send **bad_record_mac** if invalid

V1.1 Bug:
Only difference is error messages

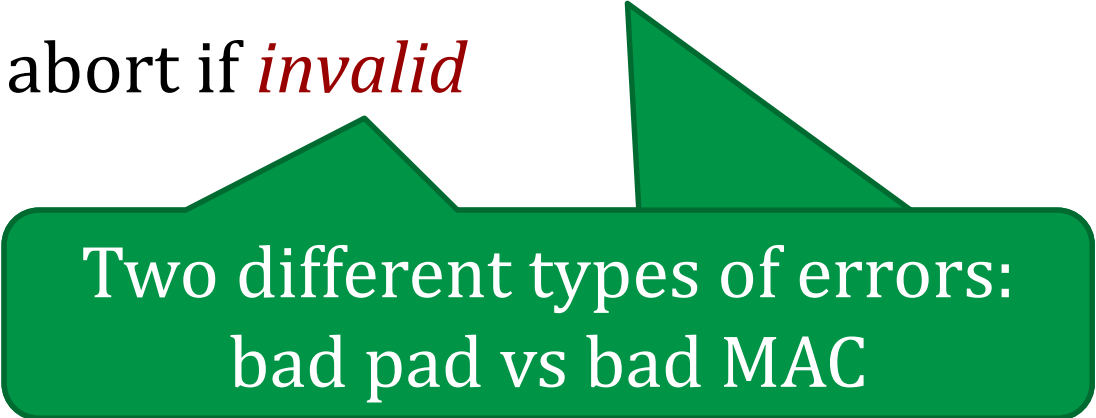
Padding Oracles

Server side **dec**($k_{b \rightarrow s}$, record, $ctr_{b \rightarrow s}$) :

step 1: CBC decrypt record using k_{enc}

step 2: check pad format, abort if *invalid*

step 3: check tag, abort if *invalid*

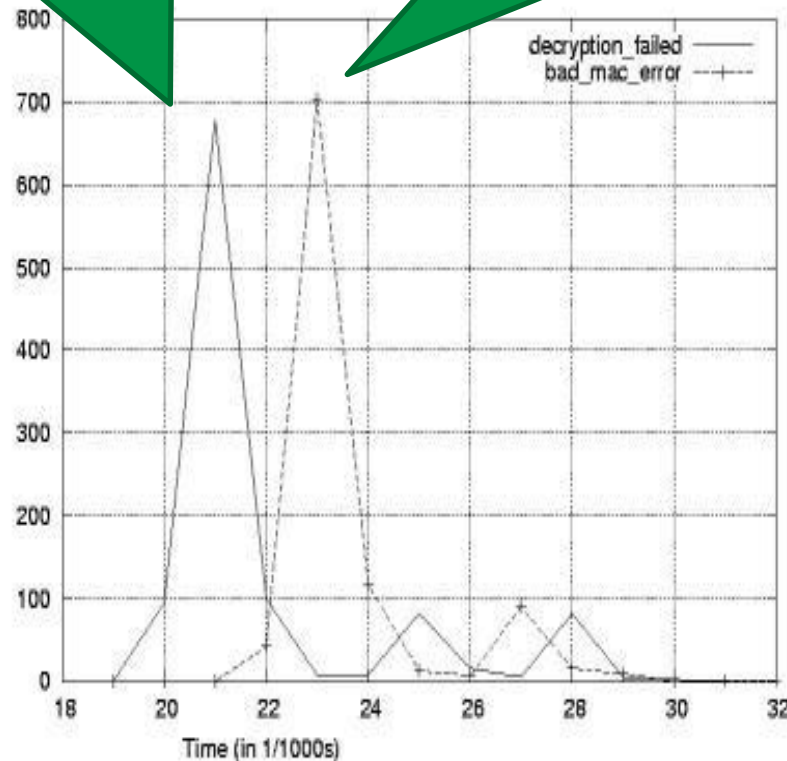


Two different types of errors:
bad pad vs bad MAC

Padding Attack: Attacker submits ciphertext and learns if last byte of plaintext are a valid pad

pad error

MAC error



Credit: Brice Canvel
Fixed in OpenSSL 0.9.7a

In older TLS 1.0:
padding oracle due to different alert messages.

TLS Padding

Type	Version	Length
Data	...	...
...	...	...
Tag	Tag	Tag
Tag	Tag	Pad

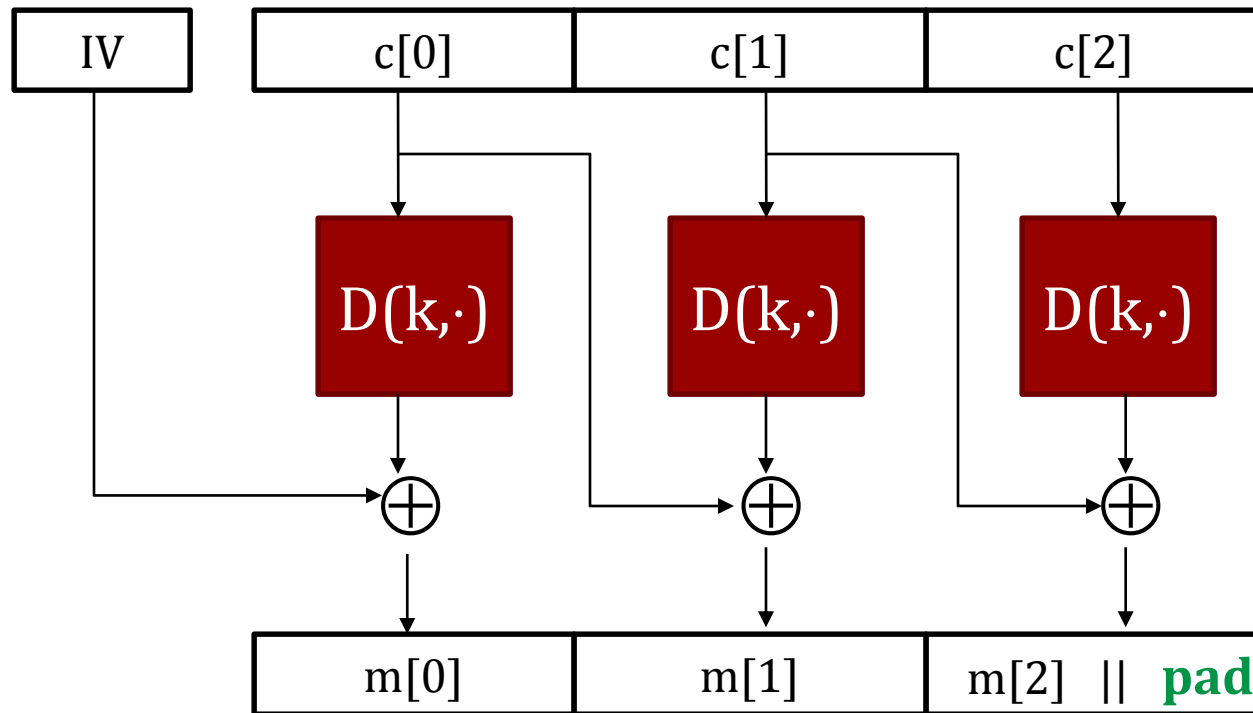
Valid paddings:

- 0x01 for 1 byte padding
- 0x02 0x02 for 2 byte padding
- 0x03 0x03 0x03 for 3 byte padding
-

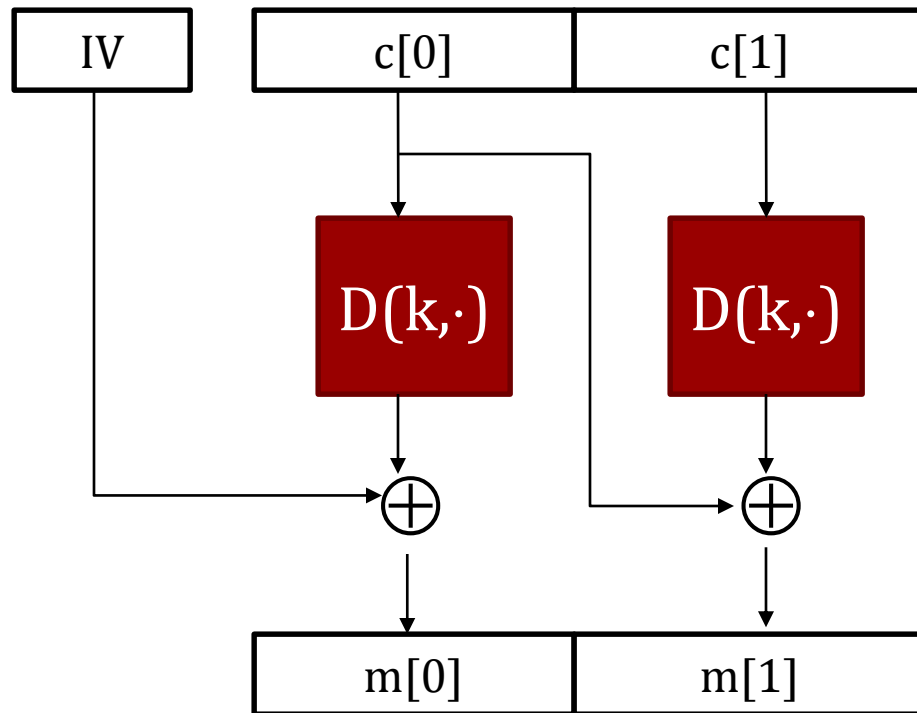
Using a Padding Oracle with CBC

Example:

Attacker has ciphertext $c = (c[0], c[1], c[2])$ and wants $m[1]$. We'll show you how to get last byte of $m[1]$. (Full break possible)

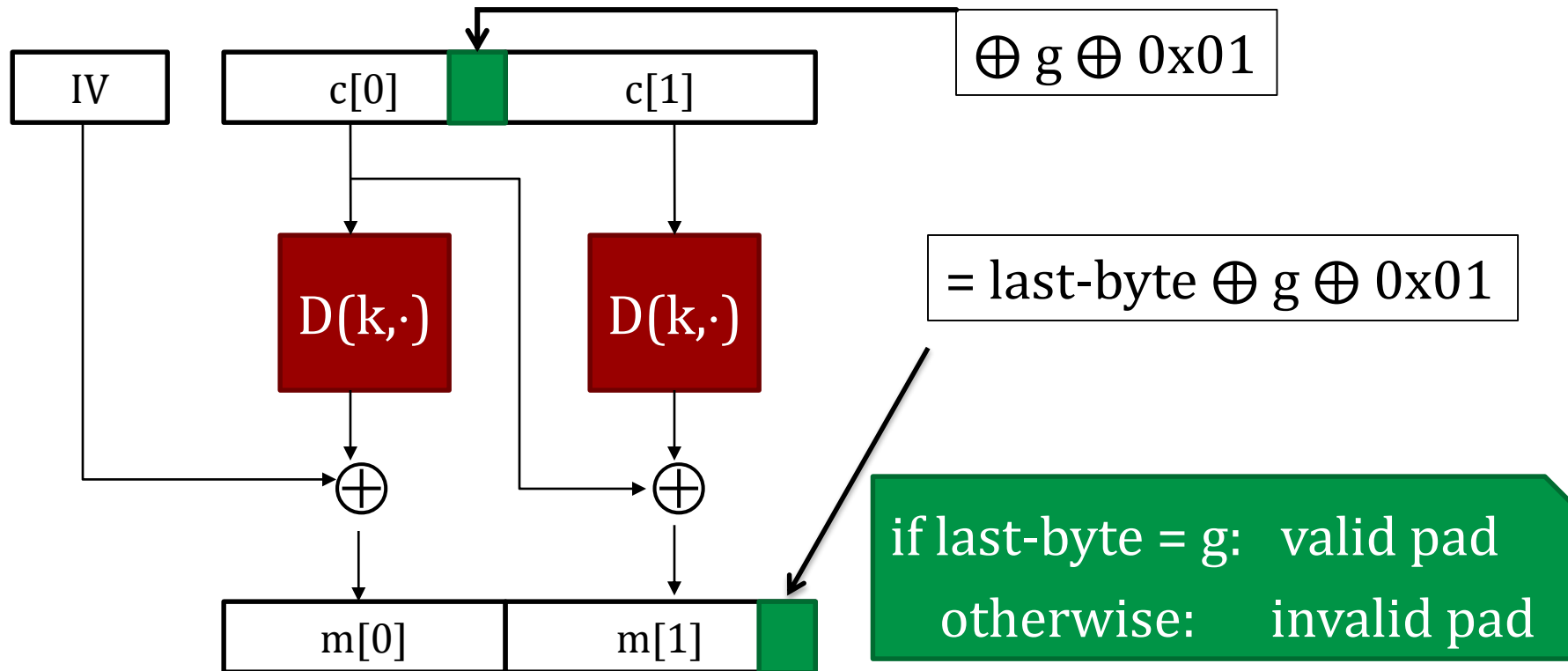


Step 1: Throw Away $c[2]$



Step 2: Guess and Check if Padding Valid

Let g be our guess for the last byte of $m[1]$



*note MAC will fail, but we get the byte.

Using a Padding Oracle

Attack: submit $(IV, c'[0], c[1])$ to padding oracle
 $\Rightarrow$ attacker learns if last byte = g

Repeat with $g = 0, 1, \dots, 255$ to learn last byte of $m[1]$

Then use a $(0x02, 0x02)$ pad to learn the next byte
and so on ...

Another TLS Bug Prior to 1.1

IV for CBC is predictable using chained IV

- IV for next record is last ciphertext block of current record.
- Not CPA secure (see block cipher lecture).
BEAST attack is a practical implementation

Other Problems

The TLS header leaks the length of TLS records

- Lengths can also be inferred by observing network traffic

For many web applications, leaking lengths reveals sensitive info:

- In tax preparation sites, lengths indicate the type of return being filed which leaks information about the user's income
- In healthcare sites, lengths leaks what page the user is viewing
- In Google maps, lengths leaks the location being requested

No easy solution

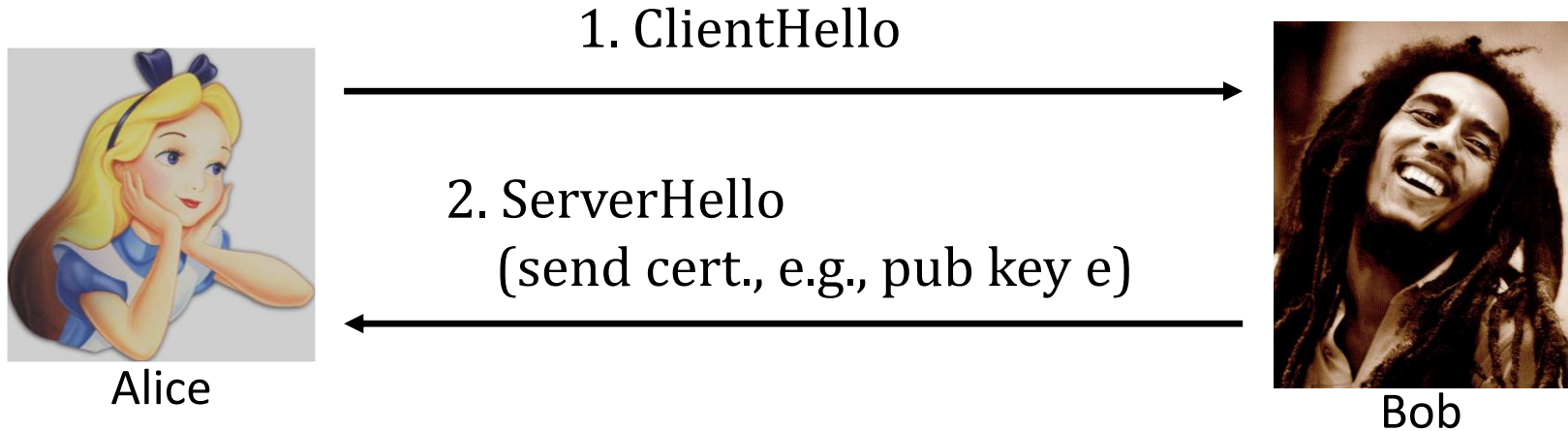
Lesson

1. Encrypt-then-MAC would completely avoid many problem.
 - MAC is checked first and ciphertext discarded if invalid
2. MAC-then-CBC provides Authenticated Encryption, but padding oracle destroys it

Certificate Revocation

What to do if your keys are compromised.

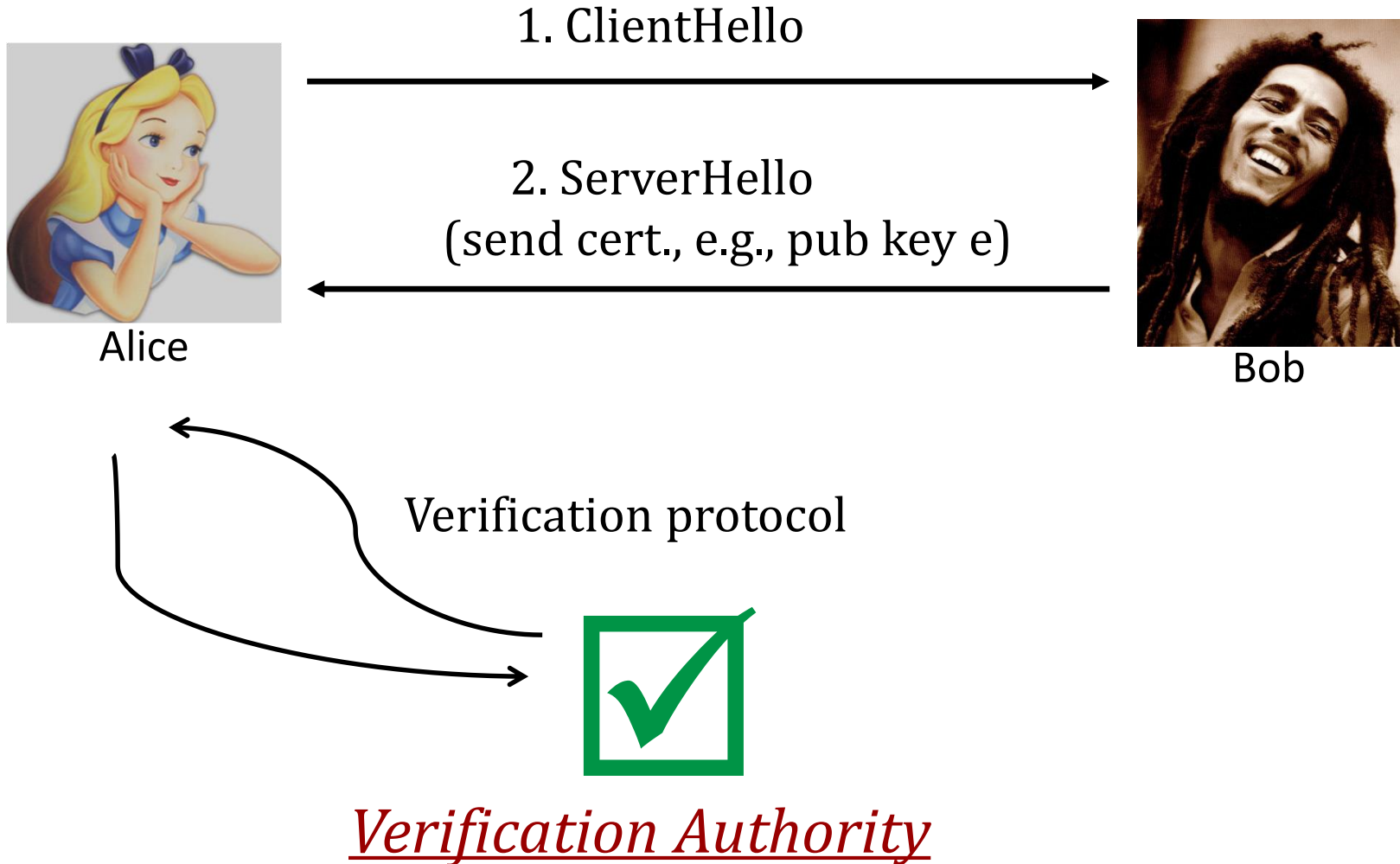
Certificate Revocation



1. Check CA signature on key
2.
3. Accept key

What needs to happen here?

Certificate Revocation

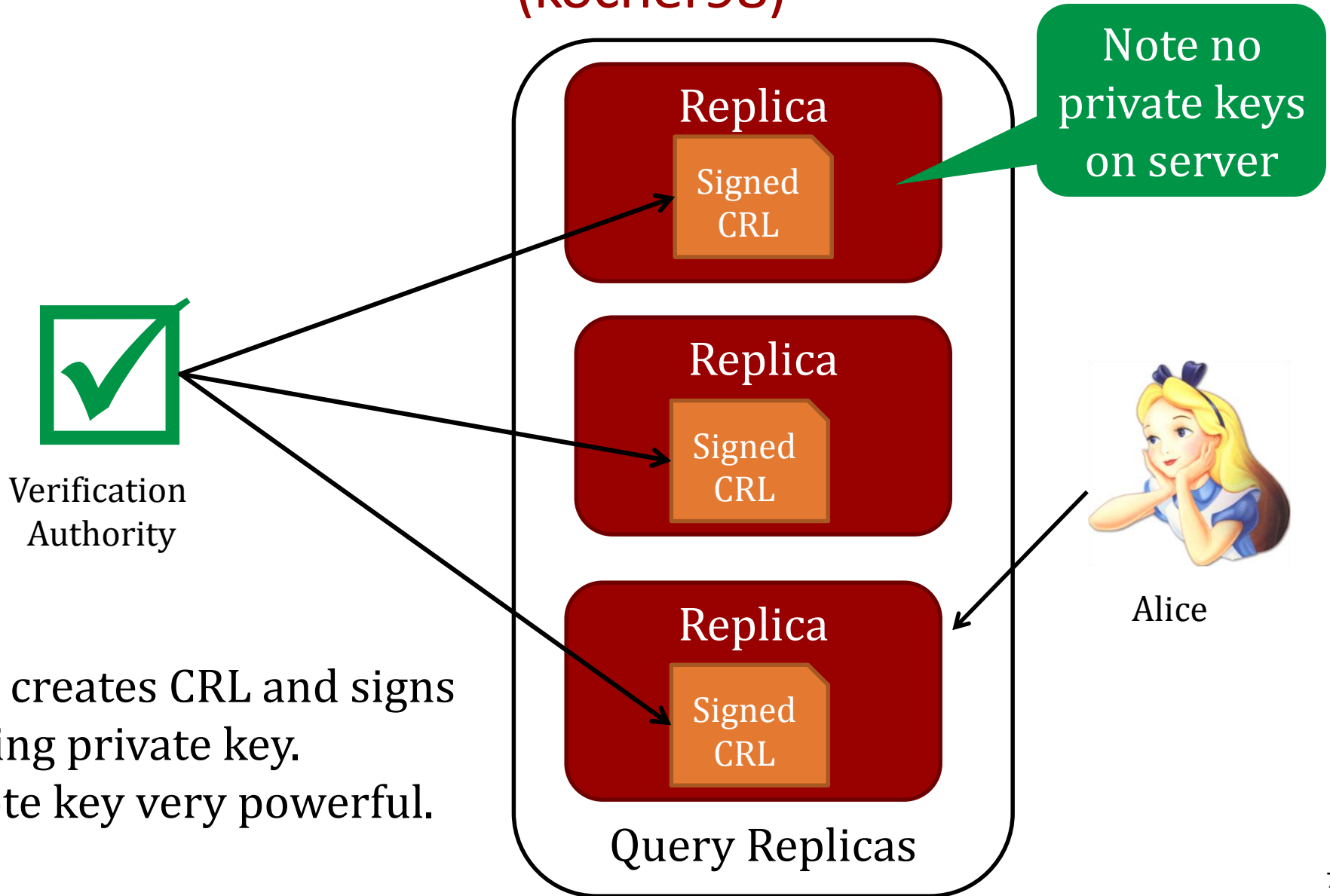


Certificate Verification Protocols

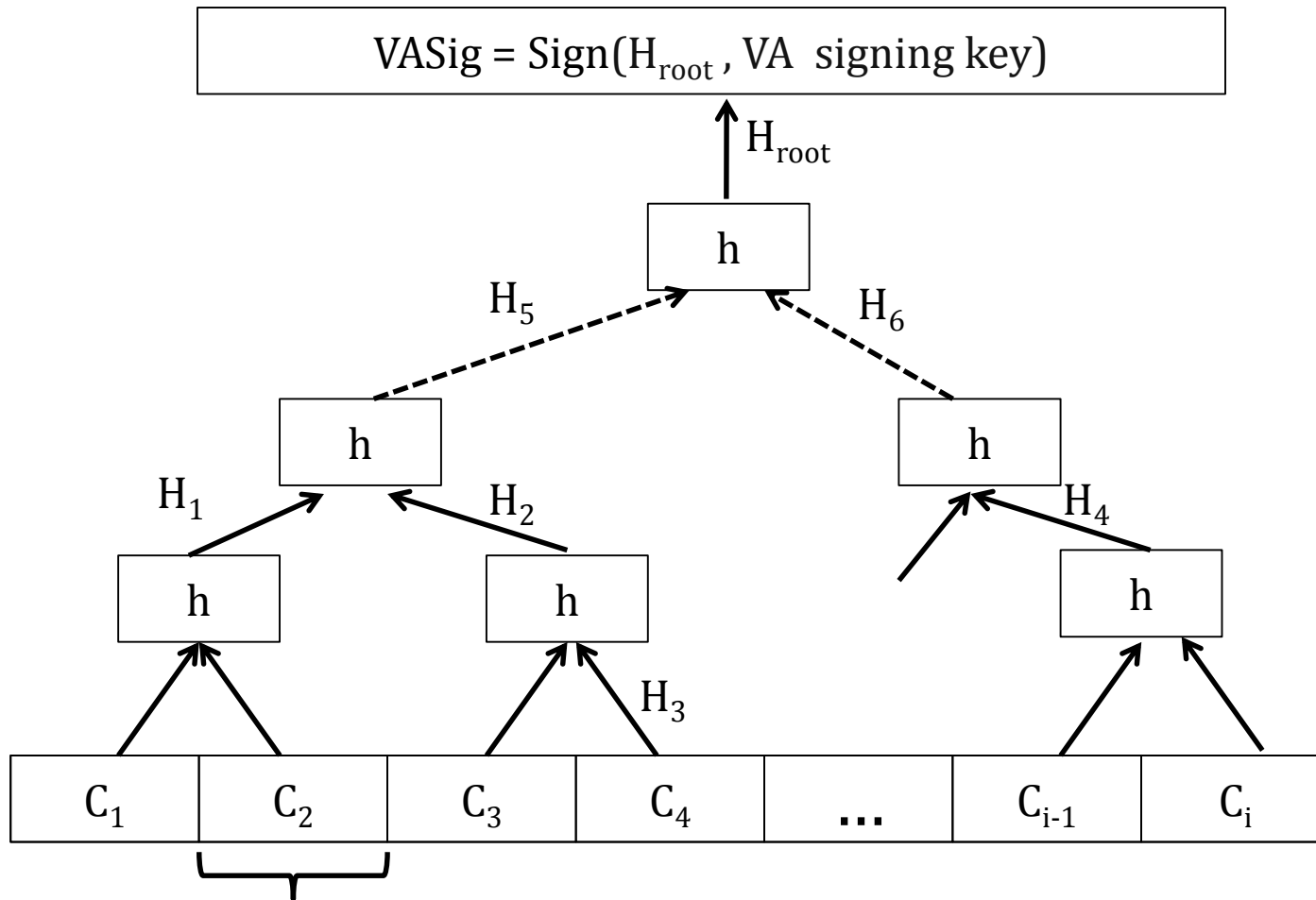
- Expiration Date
- Certificate Revocation Lists (CRL) and Certificate Revocation Trees (CRT)
- OCSP – Online Cert Status Protocol

Efficient Certificate Revocation Lists

(kocher98)



Certificate Revocation Tree Generation

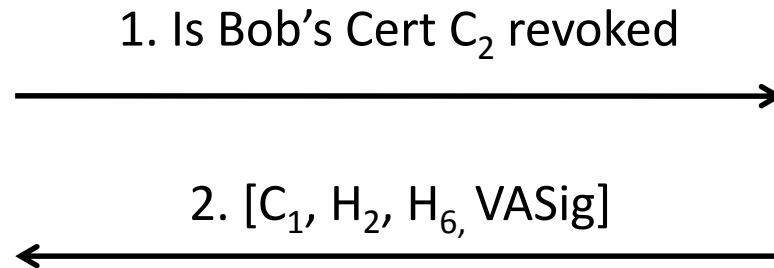


Verification
Authority

Revoked cert C_j sorted by serial



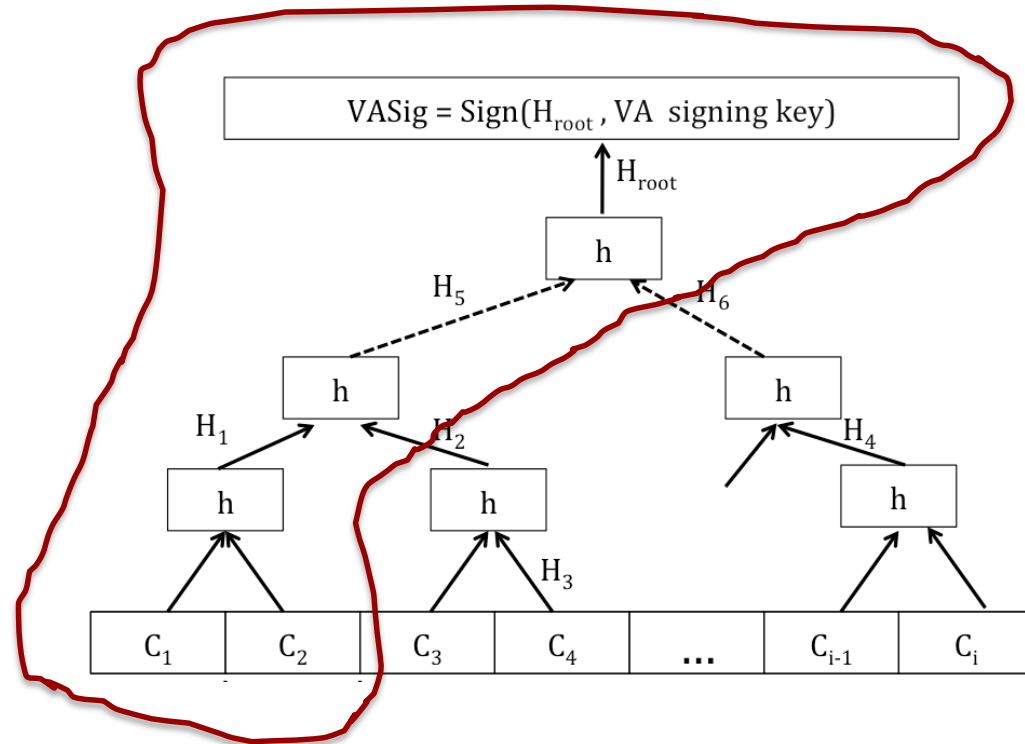
Alice



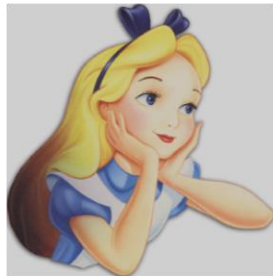
3. Alice validates C_2 by:

- $H'_{\text{root}} = H(H(C_1, C_2), H_2, H_6)$
- $H' = ? = H$
- VA Sig valid?

Size of Proof:
 $O(\log i)$



Online Cert Status Protocol



Alice

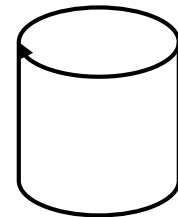
1. Request(Bob's Cert)



Verification
Authority

3. Response(
 Sign(Bob's Cert {OK,BAD})
 VA Signing Key
)

2. Check DB



Implemented in IE7 (Vista+), Firefox, Safari
(by default Lion+), Opera, Chrome