

- recap:
- signal model  $(\mathcal{U}, \mathcal{U}, \Phi)$
  - regular ( $\mathcal{U} = \mathcal{U}$ )
  - shift-invariant ( $\mathcal{U}$  commutative)
  - finite ( $\dim(\mathcal{U}) < \infty$ )
  - 1-1 ( $\mathcal{U} = \langle x \rangle$  alg)

signal model  $\rightarrow$  signals, filters, filtering  
 $\rightarrow$  spectrum, FT, frequ. response

- why polynomial algebras  $\mathbb{C}[x]/p(x)$
- visualization

### Finite, shift-invariant, 1-1 signal models

Necessarily  $\mathcal{U} = \mathbb{C}[x]/p(x)$ . Assume  $\mathcal{U} = \mathcal{U}$ ,  $b = \{p_0, \dots, p_{n-1}\}$  basis of  $\mathcal{U}$ .

Then  $(\mathcal{U}, \mathcal{U}, \Phi)$  is a signal model ~~with~~ for  $V = \mathbb{C}^n$  with

$$\Phi: \mathbb{C}^n \rightarrow \mathcal{U}$$

$$\hat{s} \mapsto s = s(x) = \sum_{e=0}^{n-1} s_e p_e(x)$$

(running) example:  $\mathcal{U} = \mathcal{U} = \mathbb{C}[x]/x^{n-1}$ ,  $b = \{1, x, \dots, x^{n-1}\}$   
 $\Phi$  is called "finite z-transform"

Filtering:  $s(x) \in \mathcal{U}$ ,  $h(x) \in \mathcal{U} \rightarrow h(x)s(x) \in \mathcal{U}$

(multiplication of polynomials modulo  $p(x)$ ;  
 the polynomials are expressed in the basis  $b$ )

example:  $\mathcal{U} = \mathcal{U} = \mathbb{C}[x]/x^{n-1}$

filtering:  $h(x) \cdot s(x) \pmod{x^n - 1}$

$$\Leftrightarrow \hat{h} \circledast \hat{s}$$

↑  
circular convolution

# Filtering in coordinates

Let  $\mathcal{U}$  be the representation of  $\mathcal{A}$  afforded by  $\mathcal{U}$  with basis  $b$ .

$$\begin{aligned} \varphi: \mathcal{A} &\longrightarrow \mathbb{C}^{n \times n} \\ h &\longmapsto \varphi(h) \end{aligned}$$

then:  $h(x)s(x) \pmod{p(x)} \iff \varphi(h) \cdot \hat{s}$

example:  $\mathcal{U} = \mathcal{U} = \{\mathbb{C}[x]/x^n - 1\}$ ,  $b = \{1, x, \dots, x^{n-1}\}$

$$\varphi(x) = \begin{pmatrix} 0 & & & 1 \\ 1 & & & \\ & \ddots & & \\ & & \ddots & \\ & & & 0 \end{pmatrix}, \quad \varphi(x^2) = \begin{pmatrix} 0 & & & \\ 1 & & & \\ & \ddots & & \\ & & \ddots & \\ & & & 0 \end{pmatrix} \dots$$

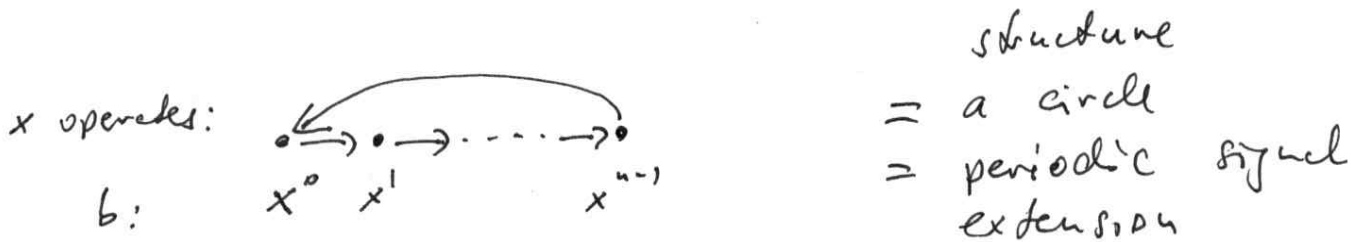
$$\Rightarrow \varphi\left(\sum_{k=0}^{n-1} h_k x^k\right) = \sum_{k=0}^{n-1} h_k \varphi(x^k) = \begin{pmatrix} h_0 & & & h_2 h_1 & \\ & h_1 & & h_2 & \\ & & \ddots & & \\ & & & h_1 & h_0 \\ & & & & h_0 \end{pmatrix}$$

$\uparrow$   
 $\varphi$  hom. of algebras

circular matrix

$$h(x)s(x) \pmod{x^n - 1} \iff \begin{pmatrix} h_0 & & & h_1 \\ & h_1 & & \\ & & \ddots & \\ & & & h_1 & h_0 \\ & & & & h_0 \end{pmatrix} \cdot \hat{s}$$

Visualization: Graph that has  $\varphi(x)$  as adjacency matrix  $\iff$  let  $x$  operate on  $b$



## Spectrum and FT

$\mathcal{U} = \mathcal{U} = \{\mathbb{C}[x]/p(x)\}$ , assume  $p(x) = \prod_{k=0}^{n-1} (x - \alpha_k)$ ,  
 $\alpha = (\alpha_0, \dots, \alpha_{n-1})$  pairwise distinct

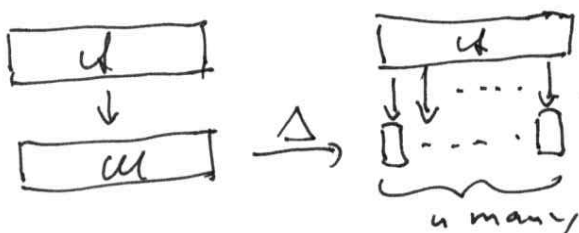
FT (coordinate-free):

$$\Delta: \mathcal{U} \longrightarrow \bigoplus_{k=0}^{n-1} \mathbb{C}[x] / (x - d_k)$$

(one-dim, hence irreducible,  $\mathcal{U}$ -modules)

$$s = S(x) \longmapsto (s(x) \bmod (x - d_0), \dots, s(x) \bmod (x - d_{n-1})) \\ = (s(d_0), \dots, s(d_{n-1})) \quad \text{"spectrum of } s \text{ w.r.t. the signal model"}$$

pure frequencies:  $f_i = \Delta^{-1}(e_i)$ ,  $i = 0 \dots n-1$



FT in coordinates:

basis in  $\mathcal{U}$ :  $b$  (fixed by signal model)

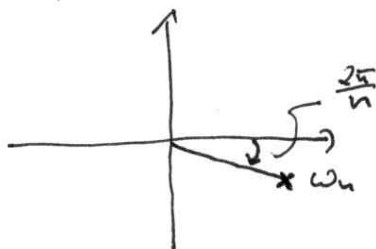
basis in each  $\mathbb{C}[x]/(x - d_k)$ :  $1$

$$\Rightarrow \tilde{F} = \begin{pmatrix} p_0(d_0) & p_1(d_0) & \dots \\ \vdots & \vdots & \dots \\ p_0(d_{n-1}) & p_1(d_{n-1}) & \dots \end{pmatrix} = [p_e(d_k)]_{0 \leq k, e < n} \\ = P_{b, \alpha} \quad \text{"polynomial transform"}$$

other basis in spectrum:  $\tilde{F} = D \cdot P_{b, \alpha}$   
 $\underbrace{\quad}_{\text{diagonal, invertible}}$

$$\Delta(s) \longleftrightarrow \tilde{F} \cdot \hat{s}$$

example:  $\mathcal{U} = \mathcal{U} = \mathbb{C}[x]/x^n$ ,  $x^n - 1 = \prod_{k=0}^{n-1} (x - \omega_n^k)$ ,  $\omega_n = e^{-\frac{2\pi j k}{n}}$



$$\Delta: \mathbb{C}[x]/x^{n-1} \rightarrow \bigoplus \mathbb{C}[x]/x-\omega_n^i$$

$$s = s(x) \mapsto (s(\omega_n^0), \dots, s(\omega_n^{n-1}))$$

$$\tilde{F} = \mathcal{P}_{b,d} = [\rho_e(\alpha_k)] = [(\omega_n^k)^e] = [\omega_n^{ke}] = \underbrace{\text{DFT}_n}_{\text{DFT matrix}}$$

$$\Delta(s) \longleftrightarrow \text{DFT}_n \hat{s}$$

pure frequencies:

$$\rightarrow \hat{f}_i = \text{DFT}_n^{-1} e_i, \quad i = 0 \dots n-1, \quad \text{on}$$

$$\rightarrow f_i = \frac{\prod_{j \neq i} (x - \omega_n^j)}{\prod_{j \neq i} (\omega_n^i - \omega_n^j)}$$

Frequency response

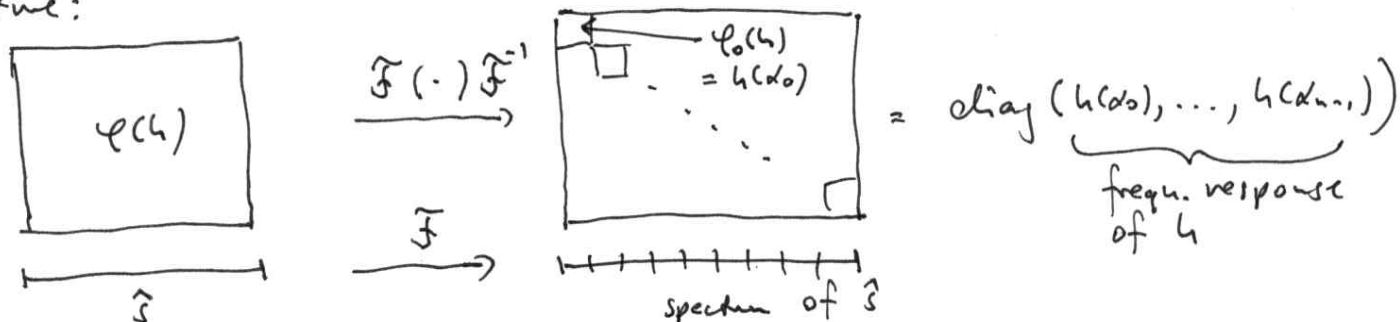
spectral component  $\mathbb{C}[x]/x-\alpha_k$  w. basis (1)

irreducible representation:

$$\varphi_k: \mathbb{C}[x]/p(x) \rightarrow \mathbb{C}^{1 \times 1} = \mathbb{C}$$

$$h(x) \mapsto \varphi_k(h(x)) = h(\alpha_k)$$

picture:



$$\Rightarrow \tilde{F} \varphi(h) \tilde{F}^{-1} = \text{diag}(h(\alpha_0), \dots, h(\alpha_{n-1})) \quad \text{for } \underline{\underline{\text{all}}} h \in \mathbb{C}[x]$$

as commutative diagram: (basis chosen everywhere)

$$\begin{array}{ccc}
 \mathbb{C}[x]/p(x) & \xrightarrow{\tilde{f}} & \bigoplus \mathbb{C}[x]/x-d_i \\
 \downarrow \varphi(h) & \swarrow \text{///} & \downarrow \text{diag}(h(d_0), \dots, h(d_{n-1})) \\
 \mathbb{C}[x]/p(x) & \xrightarrow{\tilde{f}} & \bigoplus \mathbb{C}[x]/x-d_i
 \end{array}$$

example:  $\mathcal{U} = \mathcal{U} = \mathbb{C}[x]/x^n$ ,  $h = h(x) = \sum h_k x^k \in \mathcal{U}$

$$\text{DFT}_n \cdot \begin{pmatrix} h_0 & & & h_1 \\ & \ddots & & \\ & & \ddots & \\ & & & h_0 \end{pmatrix} \cdot \text{DFT}_n^{-1} = \text{diag}(h(\omega_n^0), \dots, h(\omega_n^{n-1}))$$

$$\left[ \Rightarrow \text{char poly of } \downarrow = \prod (x - h(\omega_n^k)) \right]$$

filtering in signal domain:

$$\varphi(h) \cdot \hat{s} = \begin{pmatrix} h_0 & & & h_1 \\ & \ddots & & \\ & & \ddots & \\ & & & h_0 \end{pmatrix} \begin{pmatrix} s_0 \\ s_1 \\ \vdots \\ s_{n-1} \end{pmatrix}$$

filtering in frequ. domain:

$$\begin{pmatrix} h(\omega_n^0) & & & 0 \\ & \ddots & & \\ & & \ddots & \\ & & & h(\omega_n^{n-1}) \end{pmatrix} \begin{pmatrix} s(\omega_n^0) \\ \vdots \\ s(\omega_n^{n-1}) \end{pmatrix} \\
 = (h(\omega_n^0) s(\omega_n^0), \dots, h(\omega_n^{n-1}) s(\omega_n^{n-1}))^T$$