# Micro 2012 Program Chair's Remarks

Onur Mutlu
PC Chair
December 3, 2012
Vancouver, BC, Canada

### Purpose of This Session

- Provide insight and transparency into the Micro-45 paper submission and selection process
- Provide statistics and some informal analyses
- Describe some new things we tried
- Get your feedback involve the community
- Enable better future Micro conferences
  - Hopefully, enable the institution of best practices

### Basic Process and Statistics

- 228 submissions; 40 papers accepted (17.5% Acc. Rate)
- Reviewed by
  - The 44-member Program Committee
  - The 106-member External Review Committee (fully involved)
  - At least 98 more external/aiding reviewers
- Review process
  - 1325 total reviews, 815 from PC members; 5.8 reviews/paper
  - Rebuttal period to allow for author response
  - Extensive online discussions (160 papers)
  - 1.5-day PC meeting on August 25-26, 2012
    - All PC members present the entire first day
- After decision
  - All papers shepherded

### More Information on the Process ...

- Now Program Chair's Remarks
  - Statistics on submitted and accepted papers
  - Paper selection process
  - Metrics used to rank papers for discussion
  - Best paper selection process
  - New things we tried this year
  - What worked and what did not? What can we do better?
- Message from the Program Chair (in your proceedings)
  - http://www.microsymposia.org/micro45/message-from-theprogram-chair.pdf
- Feedback very much welcome
  - I would appreciate all kinds of honest feedback

# Our Goals in New Things Tried

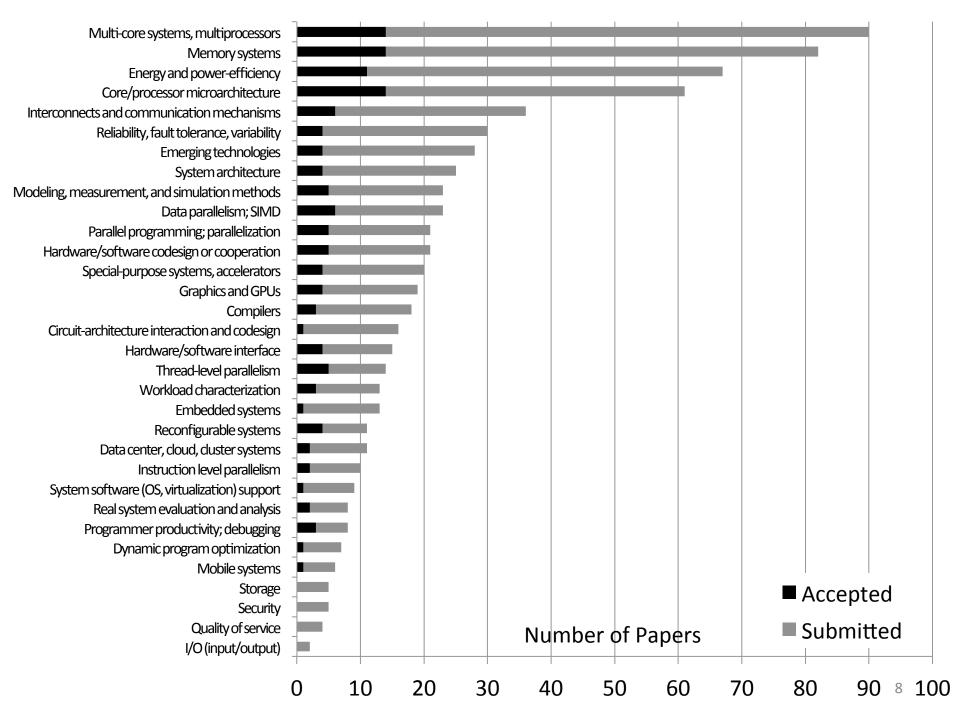
- Improve quality, transparency; institute best practices
- 1. Quality of the reviews and paper selection process
- 2. Transparency of the selection process
- 3. Strong involvement of external reviewers and community
- 4. Authors' ability to respond to initial reviewer evaluations and potential questions that may come up after rebuttal
- 5. Quality of the final program and final versions
- 6. Quality of interactions during conference (especially in the presence of parallel sessions)

## The Life of a Micro Submission/Paper

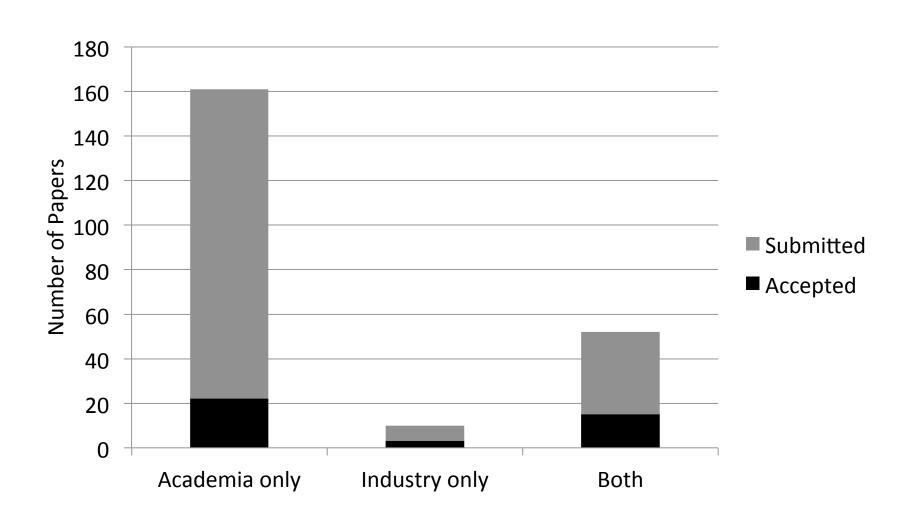
- Submission process
- Review process
- Rebuttal process
- Post rebuttal online discussion and re-scoring
- PC meeting
- Post-PC meeting
- During conference
- After conference ad infinitum

## Paper Submission Process

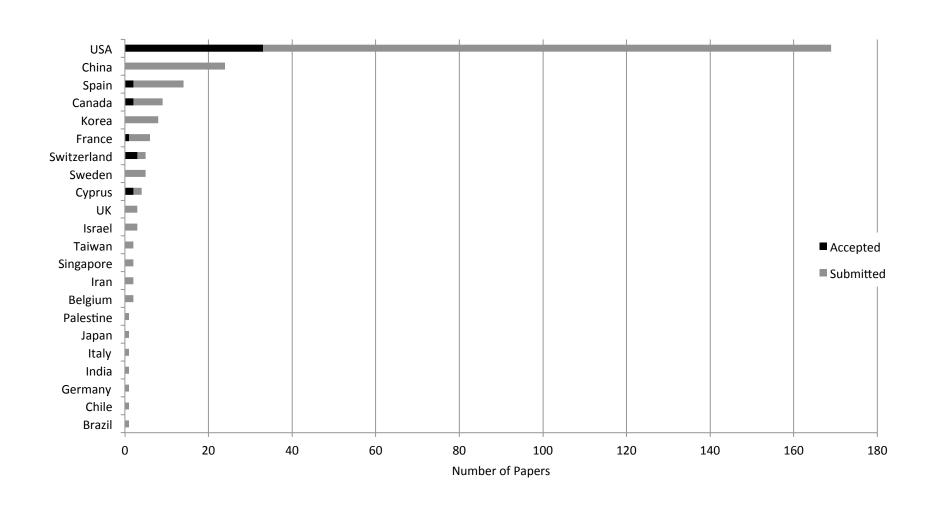
- Double-blind submission and review process
- Conflicts marked by authors
- Submission format the same as the final format; no extra page charges
  - Main goal: eliminate fairness issues... Mitigates the concern "Does this paper fit in the final format?"
  - Could be done better in the future
    - We did: 12-page 10-pt submissions, 12-page 9-pt final format
    - Better: 11-page 9pt submissions, 12-page 9pt final format.



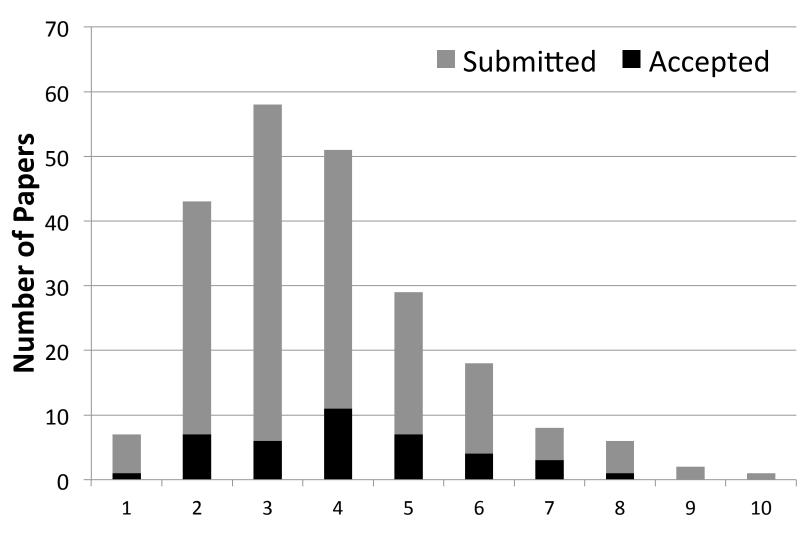
# Origin of Papers



# Main Country of Papers



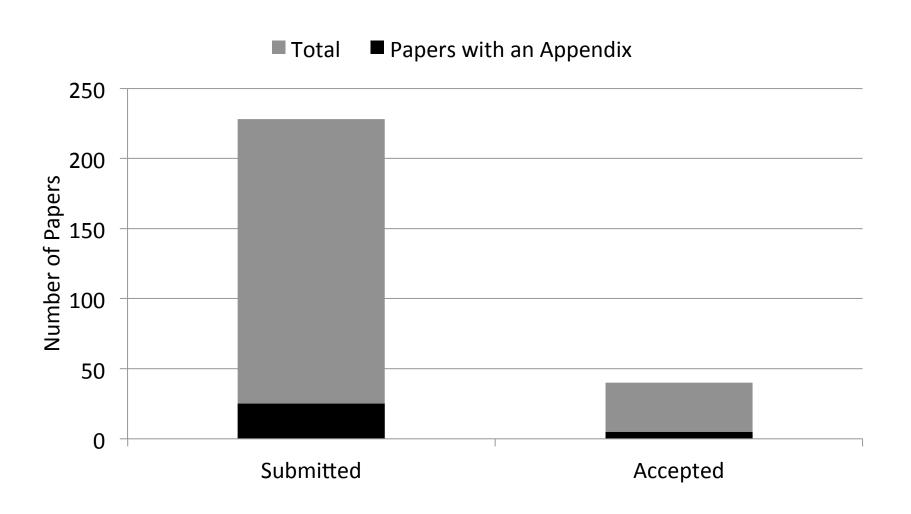
# Number of Authors Per Paper



### Optional Information with Submission

- Authors able to provide an appendix
  - Peripheral material that can aid reviewers, such as full proofs of theorems, details of the experiments conducted, or more experimental results
  - Main goal: Satisfy reviewer curiosity; handle out-of-scope issues
- Authors able to say "this paper was submitted to a past conference"
- Authors able to provide past reviews and responses to them (if the paper was submitted to a previous venue and rejected)
  - Main goal: proactive addressing of potential concerns that can come up post-rebuttal... Anticipate and address "I had reviewed this paper previously and the authors did..."

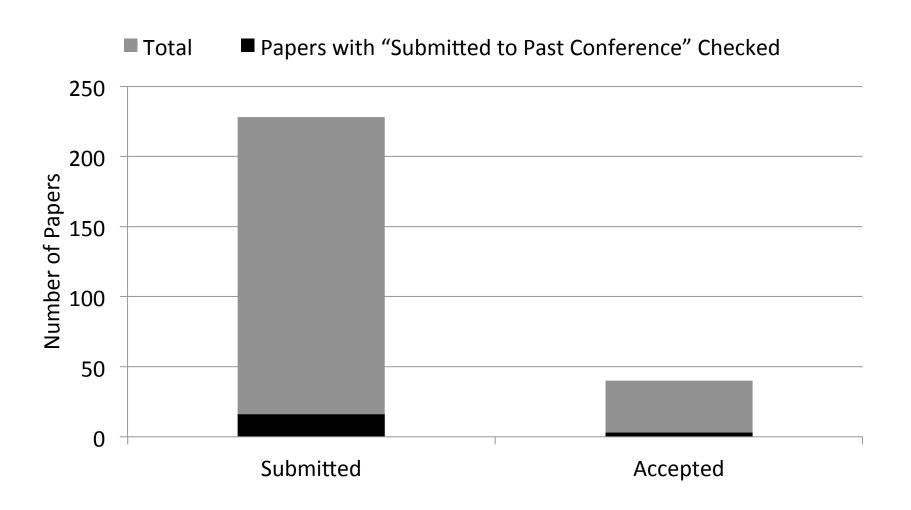
# Papers with an Appendix



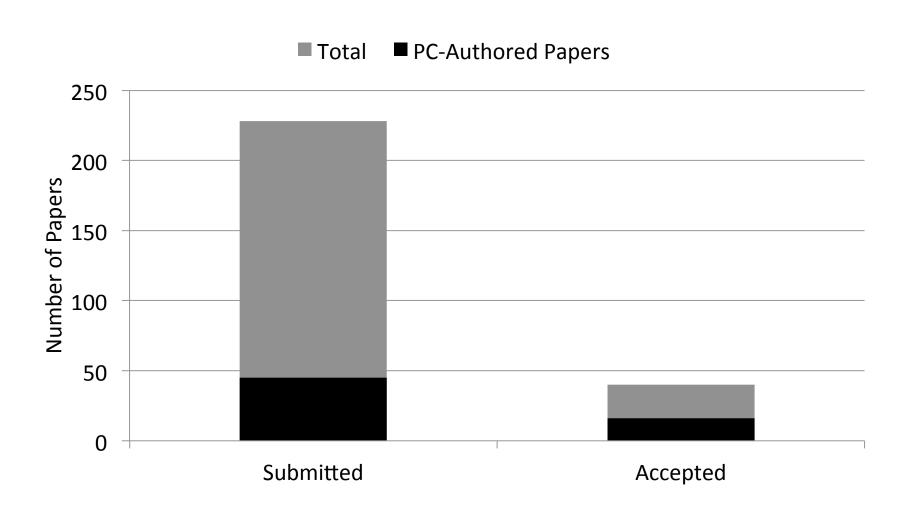
# Papers with Past Reviews



# Papers with "Submitted to Past Conference" Checked



# PC-Authored papers



### Review Process

#### Reviewers

- The 44-member Program Committee
- The 106-member External Review Committee (fully involved)
- At least 98 more external/aiding reviewers
- Review form explicitly asked for three specific rebuttal questions
- External reviewers fully involved in the process, up until the PC meeting

### Number of Reviews

- 1325 total reviews
  - 815 from PC members
  - 510 from external reviewers
  - All reviewers assigned by me
- 5.8 reviews/paper
- 216 papers received >= 5 reviews
- 159 papers received >= 6 reviews
- 44 papers received >= 7 reviews

# Reviews Categorized by Expertise Score

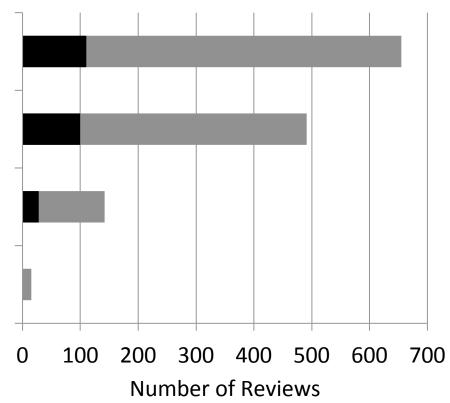
■ Accepted ■ Submitted

Expert in the subject area of the paper

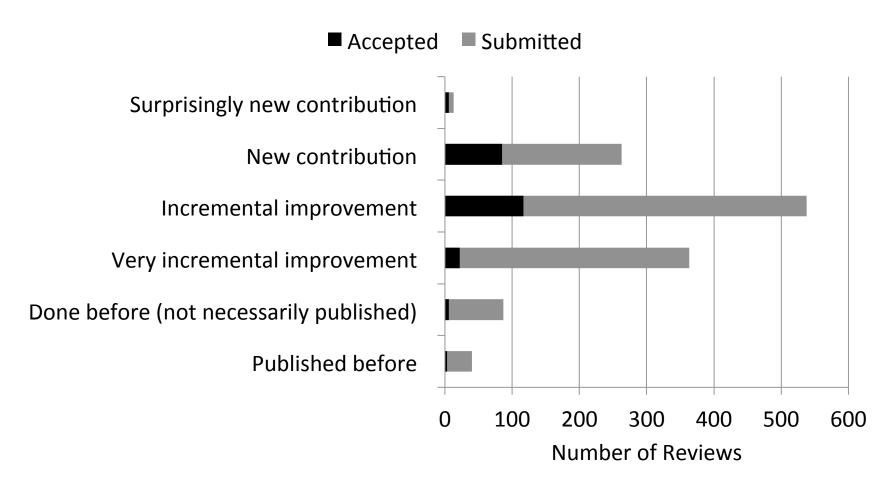
Knowledgeable, but not an expert in the subject area

Some familiarity with the subject area

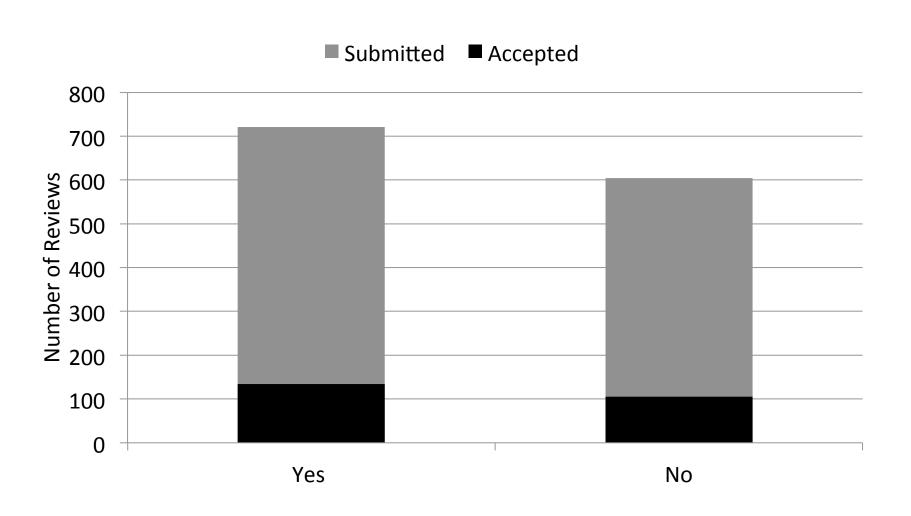
Little familiarity with the subject area



# Reviews Categorized by Novelty Score



### Can We Publish Your Review?



### Rebuttal Process

- Authors' chance to respond to reviewer concerns after initial evaluation by reviewers
- Authors were able to see all initial evaluation scores and all comments of reviewers
- All reviewers were required to read and update scores and reviews based on rebuttal
  - A majority of reviewers updated their reviews and scores, and provided post-rebuttal comments
- At least 160 papers discussed extensively online post rebuttal
- External reviewers fully involved in the discussion

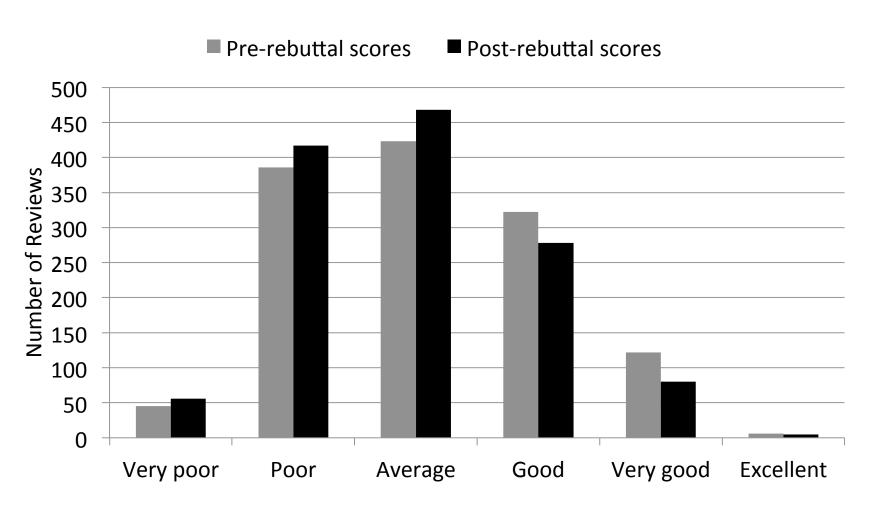
## Overall Merit Score Categories

- 1. Very poor (F) -- Fail. The paper is unacceptable.
- 2. Poor (D) -- Poor-quality paper
- Average (C) -- Average-quality paper with many deficiencies that are difficult to overlook.
- 4. Good (B) -- Solid paper with some deficiencies
- 5. Very good (A) -- High-quality paper that adds insight to the field
- 6. Excellent (A+) -- Very high-quality paper that exemplifies and/or expands the field

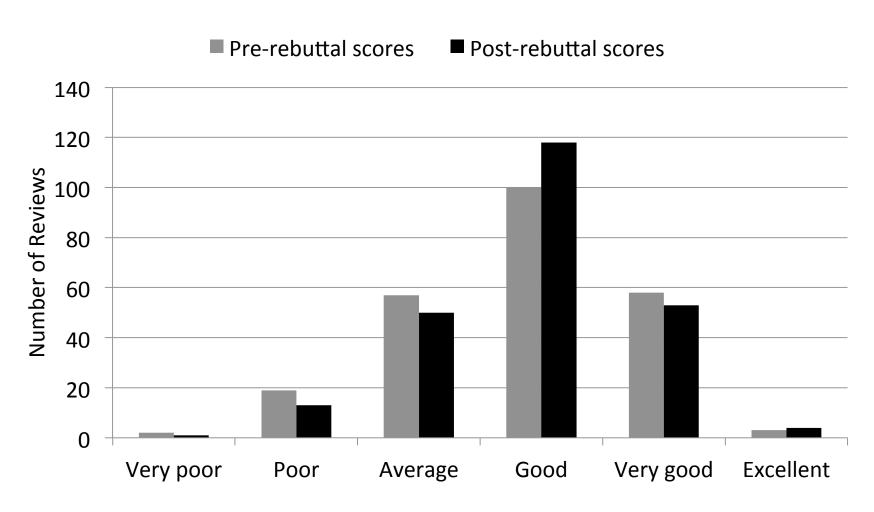
### Pre- and Post-Rebuttal OM Scores

- Pre-rebuttal score is the reviewer's score at the time the rebuttal is exposed to authors
- Post-rebuttal score is the overall merit score at the time the entire review process is over. Affected by:
  - Authors' rebuttal
  - Online discussion among reviewers
  - Reading of other reviews
  - Discussion during the PC meeting
  - i.e., everything that happens after rebuttal

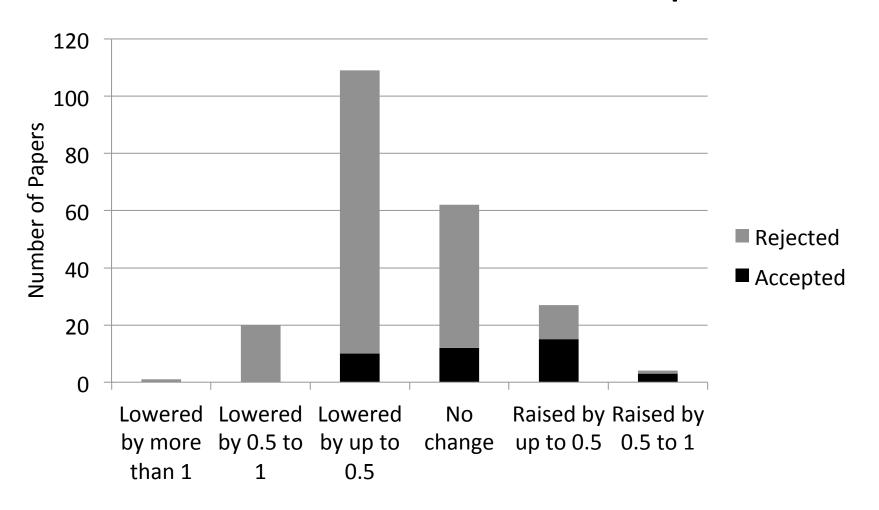
# Distribution of Review Scores Received by Submitted Papers



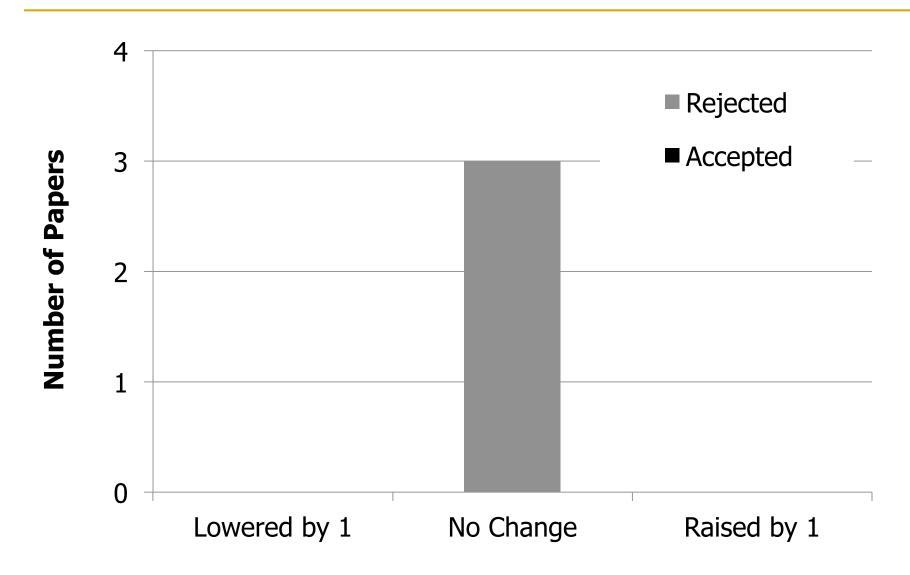
# Distribution of Review Scores Received by Accepted Papers



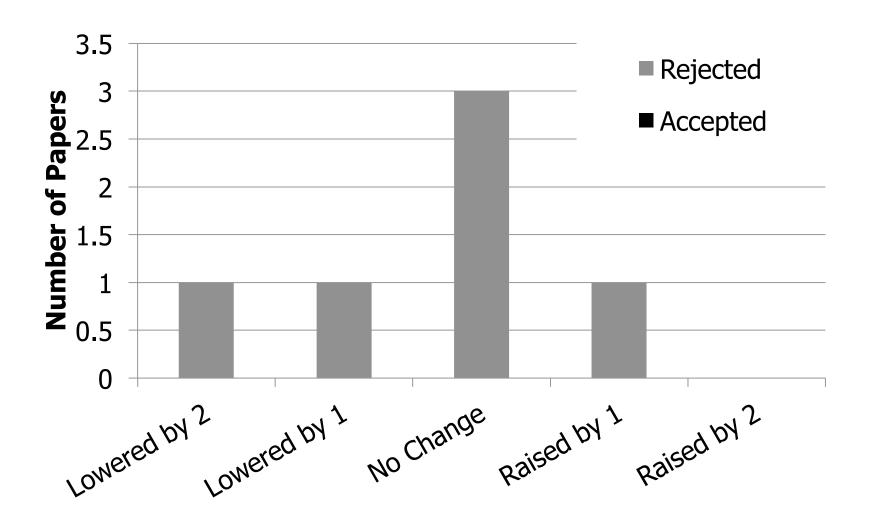
# Magnitude of Change in Average OM Score After Rebuttal vs. Acceptance



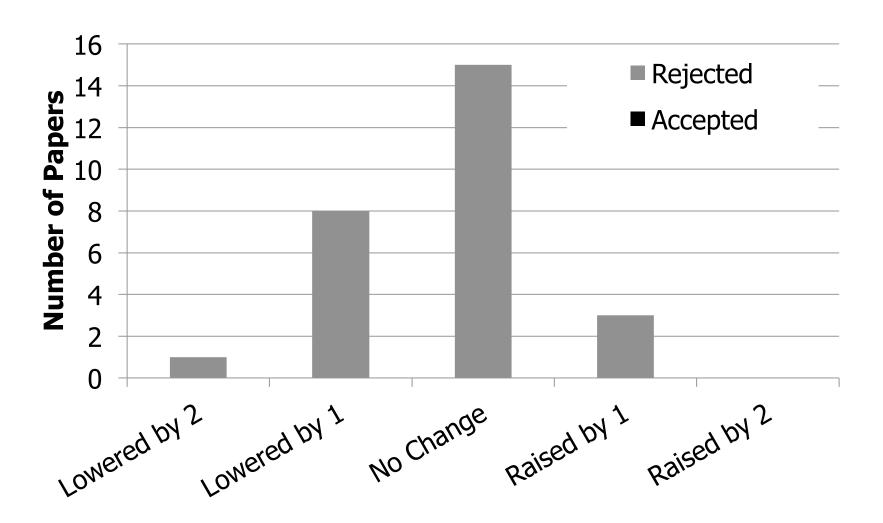
### Score Changes for Papers w/ Avg. Pre-Rebuttal OM 1 - 1.5



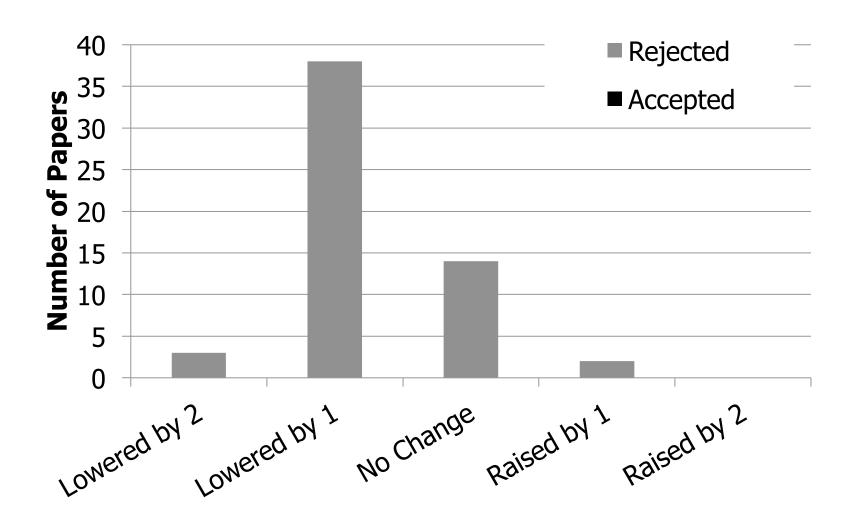
### Score Changes for Papers w/ Avg. Pre-Rebuttal OM 1.5 – 2



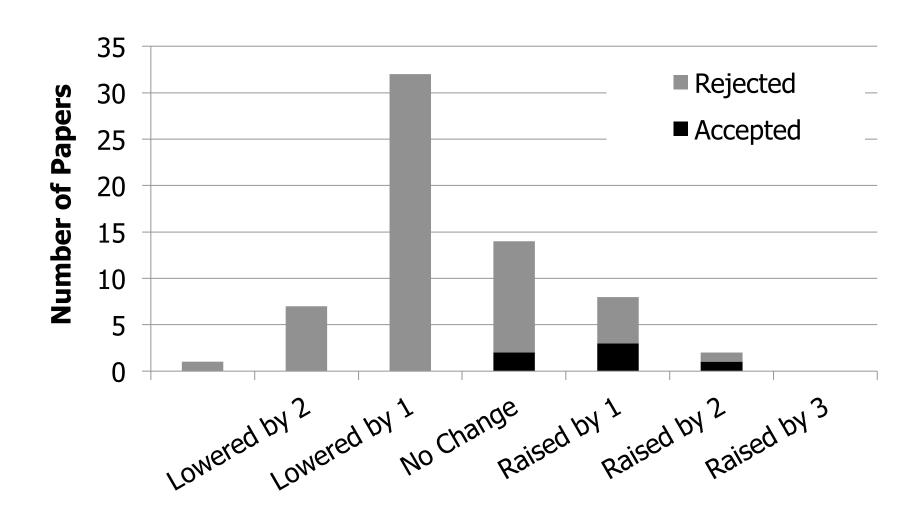
### Score Changes for Papers w/ Avg. Pre-Rebuttal OM 2 - 2.5



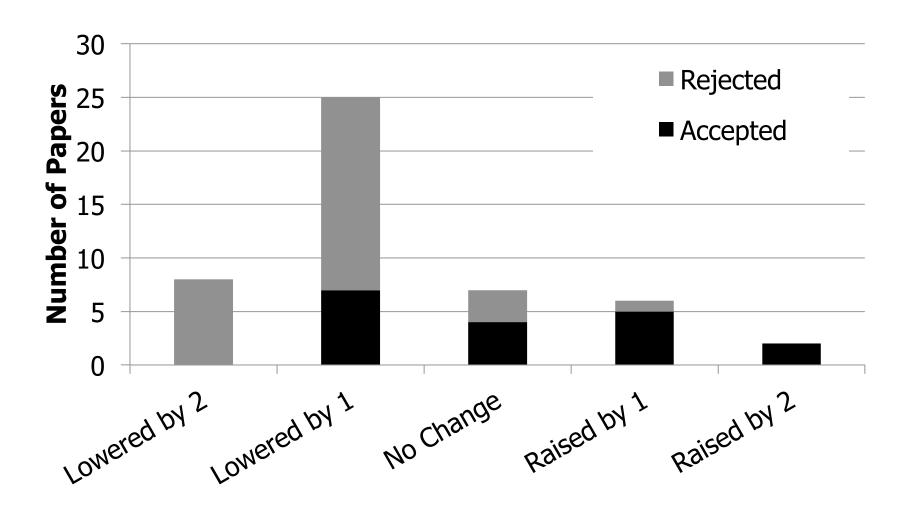
### Score Changes for Papers w/ Avg. Pre-Rebuttal OM 2.5 – 3



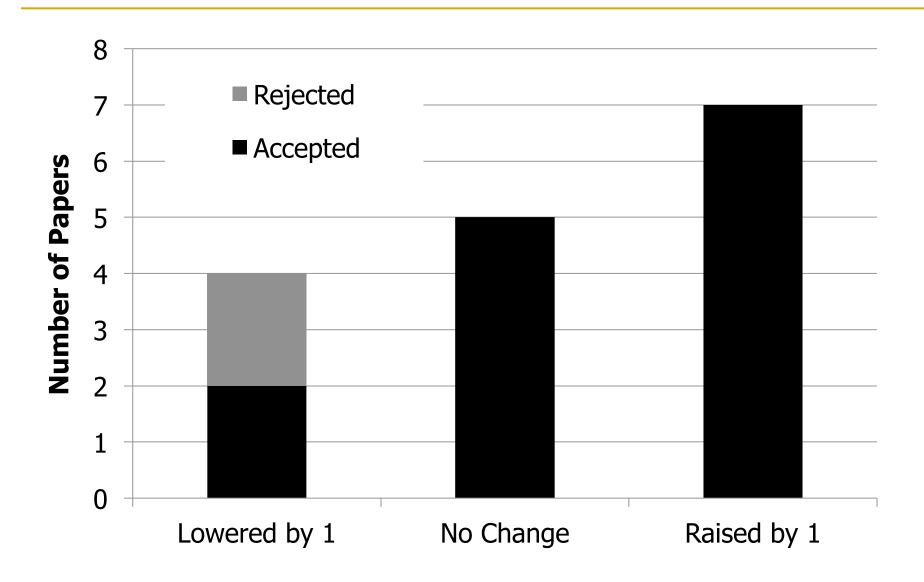
#### Score Changes for Papers w/ Avg. Pre-Rebuttal OM 3 - 3.5



### Score Changes for Papers w/ Avg. Pre-Rebuttal OM 3.5 – 4



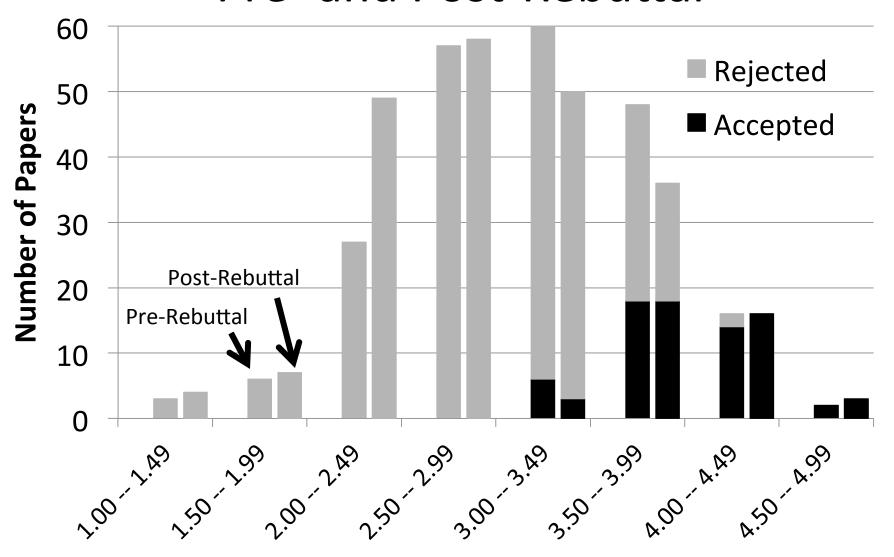
### Score Changes for Papers w/ Avg. Pre-Rebuttal OM 4 - 4.5



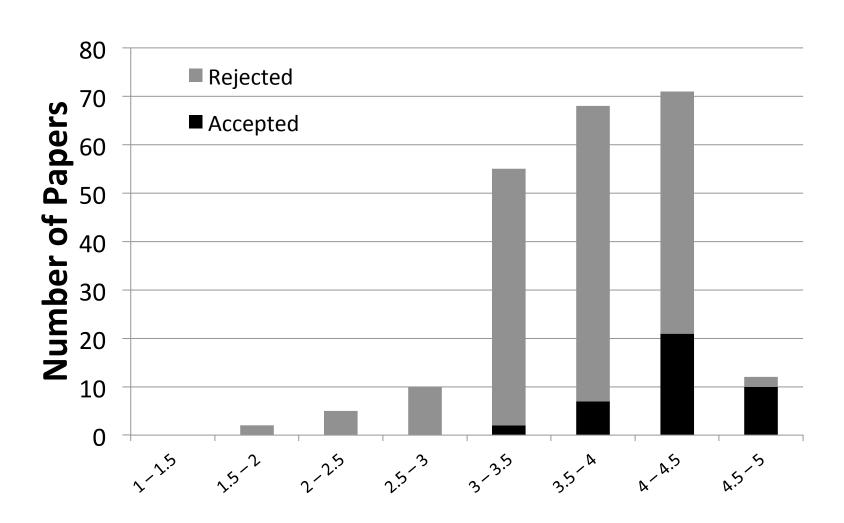
### Score Changes for Papers w/ Avg. Pre-Rebuttal OM 4.5 – 5



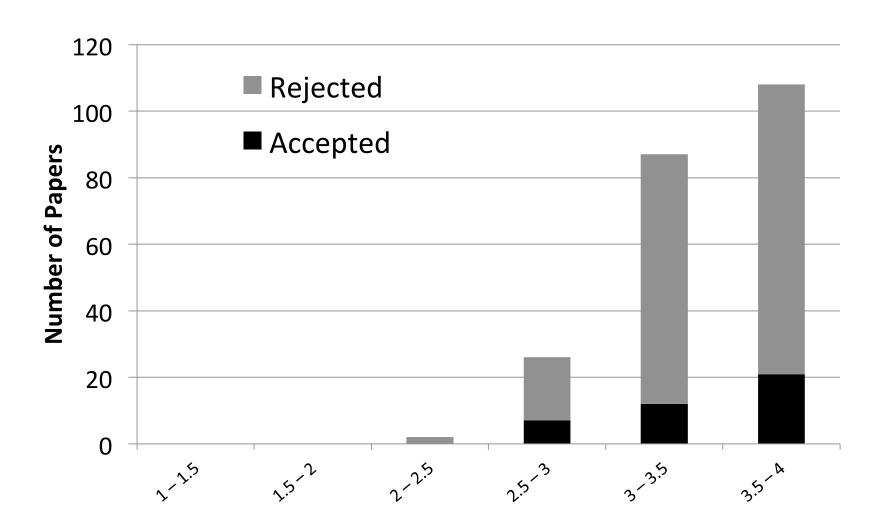
# Paper Overall Score Distribution, Pre- and Post-Rebuttal



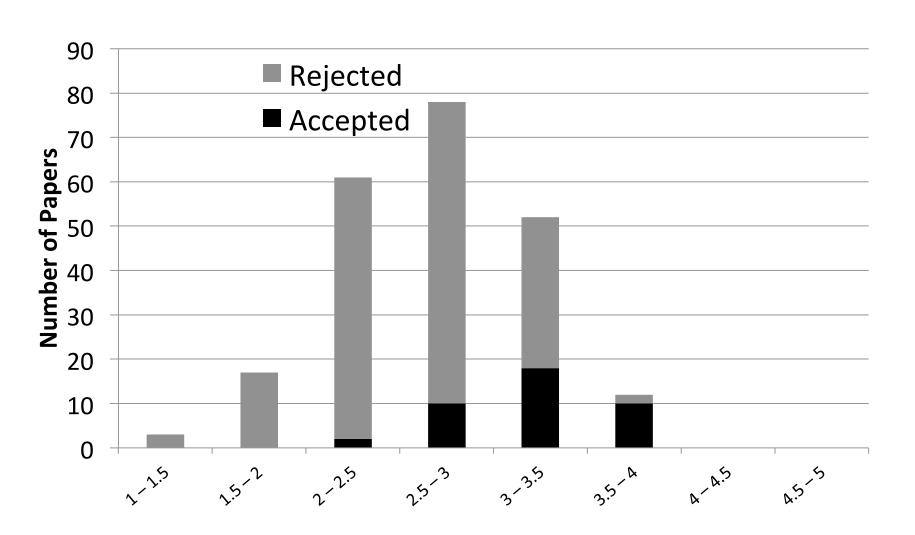
#### Paper Avg. "Novelty" Score Distribution



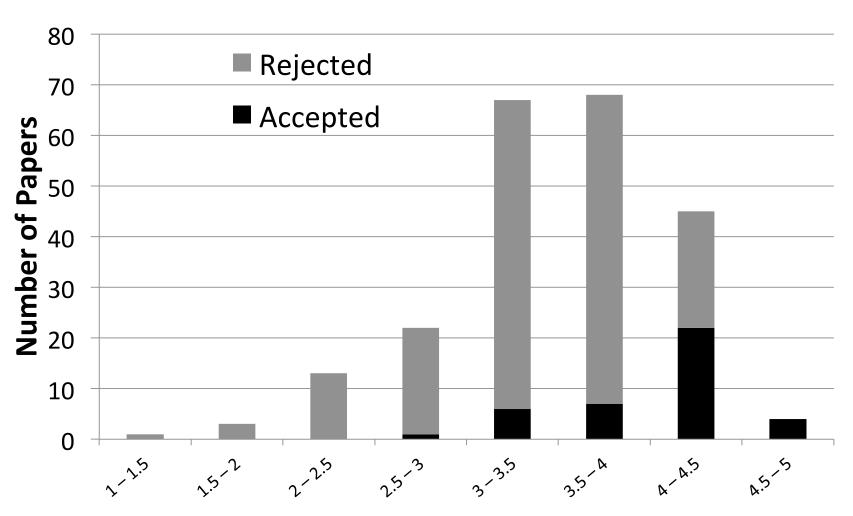
#### Paper Avg. "Expertise" Score Distribution



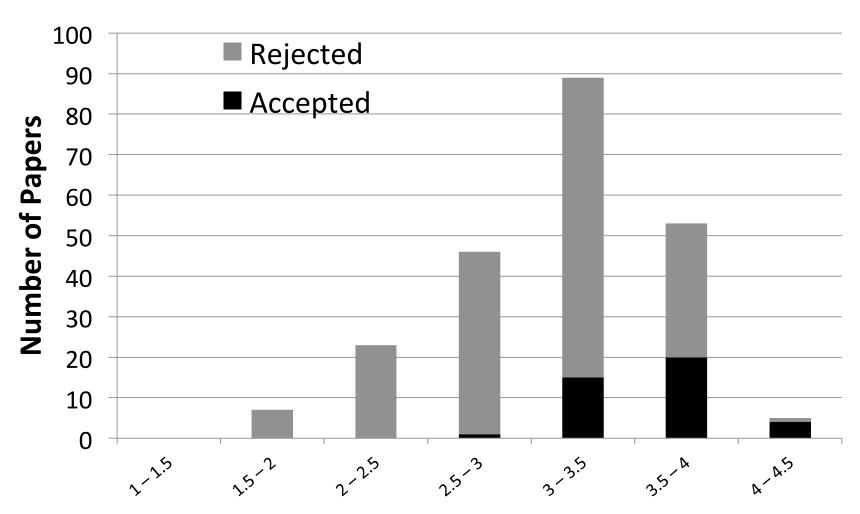
#### Paper Avg. "Importance" Score Distribution



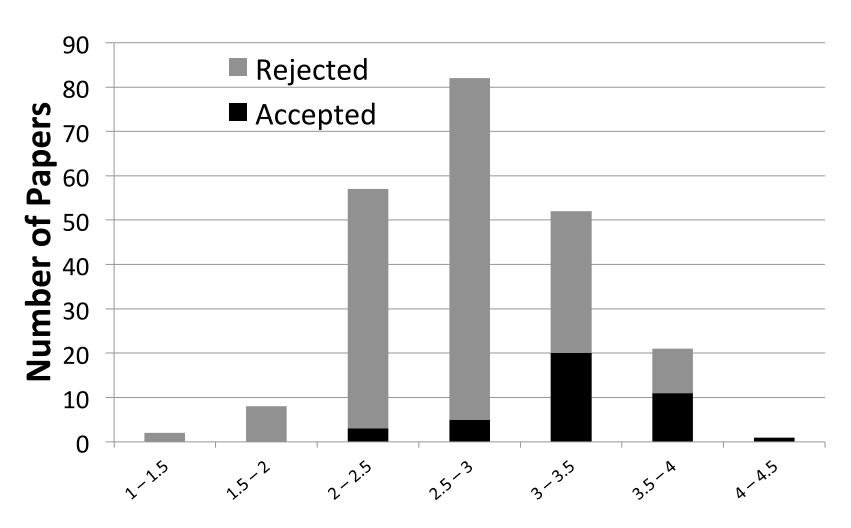
# Paper Avg. "Writing Quality" Score Distribution



# Paper Avg. "Soundness of Ideas" Score Distribution



## Paper Avg. "Soundness of Evaluation" Score Distribution



#### Program Committee Meeting

- 1.5-day meeting
  - Main goal: avoid hasty decisions. Improve decision quality. ...
  - Extra day enables mulling over decisions. More time allows for more and higher-quality discussions. No "lost" PC members.
- August 25-26, Hilton O'Hare airport
  - All PC members present the entire first day
  - 42/44 PC members present the second day
- 82 papers discussed in (somewhat of a) rank order
  - Not average overall merit score rank
- Goal: Consensus across the entire PC for accept/reject decision
  - Entire PC voted when consensus was not clear

#### Metrics for Paper Discussion Order (I)

- Determined after many different ratings are considered
  - Average Overall Merit (OM)
  - Average OM weighted with expertise (2 ways)
  - Average OM weighted with reviewer generosity
  - Average OM weighted with reviewer expertise and generosity (2 ways)
  - Total 6 OM metrics per paper
  - 6 different versions of each OM metric
    - [pre-rebuttal, post-rebuttal] x [all reviewers, reviewers with expertise > 1, reviewers with expertise > 2]
  - 36 metrics and ranks for each paper
    - A kitchen-sink metric averages all these
    - A correlation analysis would be interesting

#### Metrics for Paper Discussion Order (II)

- No single best metric
- Plus, many things metrics cannot capture
- We took all the rankings with a large grain of salt
- Final discussion order determined by examining all metrics and each paper individually
  - 9 groups of papers formed; 7 of which were discussed

#### Bottom line:

- Top 72 papers in terms of post-rebuttal rank (with nonexperts excluded) ended up on the discussion list
- +7 more with at least one A score but lower averages
- +3 more papers brought up by reviewers

### New Metrics to Rank Papers

#### Problem with Average Overall Merit

#### All scores are given the same weight

- Varying expertise
  - Different reviewers have different expertise

- Varying "generosity"
  - Some reviewers more harsh/generous than others

### **Expert Mean Score**

Taking expertise of each reviewer into account

- Idea: Give more weight to scores from experts
- Weight each score by expertise

Expert Mean of a Paper = 
$$\frac{\sum_{\text{reviews}} \text{Expertise * Score}}{\sum_{\text{reviews}} \text{Expertise}}$$

"score" refers to the overall merit

### **Generosity Mean Score**

Take generosity of each reviewer into account

 Idea: A review with a low score compared to other reviews for the paper is considered "less generous"

Generosity of a Reviewer = 
$$\underset{\text{(all papers)}}{\text{Mean}} \left( \frac{\text{Score by the reviewer}}{\text{Mean score for the paper}} \right)$$

Generosity score of a Review = 
$$\frac{\text{Review score}}{\text{Generosity of the reviewer}}$$

Generosity Mean of a Paper = 
$$\frac{\sum_{\text{(all reviews)}} \text{Generosity score of the review}}{\text{One of the reviews}}$$

Number of reviews

#### **Expert Generosity**

- Take expertise and generosity into account
- Idea: An expert review with low score is likely not harsh compared to a non-expert review with high score
- Two flavors of expert-generosity
  - Pre-expert generosity
  - Post-expert generosity

### Pre-expert Generosity Mean

- Account for generosity before expertise
- Similar to expert mean
- Use generosity score instead of overall merit

Expert Mean of a Paper = 
$$\frac{\sum_{\text{reviews}} \text{Expertise *Score}}{\sum_{\text{reviews}} \text{Expertise}}$$

Pre - expert Generosity Mean = 
$$\frac{\sum_{\text{reviews}} \text{Expertise *Generosity Score}}{\sum_{\text{reviews}} \text{Expertise}}$$

### Post-expert Generosity Mean

Account for expertise before generosity

Expert - generosity of a Reviewer = 
$$\underbrace{\text{Mean}}_{\text{(all papers)}} \left( \frac{\text{Score by the reviewer}}{\text{Expert mean score}} \right)$$

Expert - generosity score of a Review = 
$$\frac{\text{Review score}}{\text{Expert - generosity of the reviewer}}$$

Post - expert Generosity Mean = 
$$\frac{\sum_{\text{(all reviews)}} \text{Expert-generosity score of the reviews}}{\text{Number of reviews}}$$

## Case Study (for Generosity)

	Reviewer 1 (Generous)	Reviewer 2 (Neutral)	Reviewer 3 (Harsh)	Mean Average Score	Mean Generosity Score
Paper 1 (Good)	6		3	4.5	4.57
Paper 2 (Average)	5	3		4	3.38
Paper 3 (Bad)		2	1	1.5	1.71
Generosity	1.29	1.04	0.67		

Accounting for generosity increases the gap between the good paper that received a harsh review AND the average paper that received a generous review.

#### Post PC-Meeting

- Summary statements for discussed and rejected papers
  - Written by myself or an expert PC member
  - Goal: provide insight/visibility for authors into the discussion of the paper in the PC meeting... Provide major reasons for rejection.
  - Can be done more methodically in the future: assign a scribe for each paper
- All papers shepherded
  - Goal: improve quality of final program
  - Did achieve its purpose in many cases

### During Conference

#### Goal:

- Improve exposure of papers in the presence of parallel sessions
- Enable better technical interactions
- □ Enable better "Best \*" decisions
- Lightning session
- Poster session

#### Best Paper Award Process

- 8 papers chosen as candidates based on
  - Rankings (based on 36 different metrics)
  - PC member vetting
  - Reviewer vetting
- 7-person Best Paper Award Committee
  - Not conflicted with any of the 8 candidate papers
  - Can select another paper as the winner
  - Can select 0-N papers
  - The same committee will select the Best Lightning Session Presentation and Best Poster Award winners
    - Sessions to aid the selection process

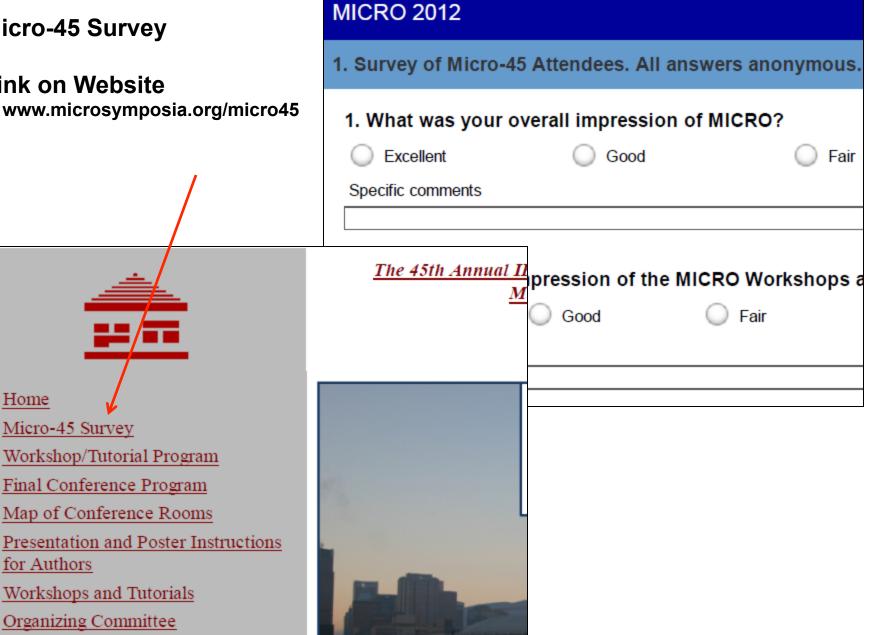
#### Feedback

- Any type of feedback on any part of the process is very much appreciated
- Goal: Living document that continually improves the process and institutes best practices
- Methods of providing feedback
  - Online feedback form
    - http://www.surveymonkey.com/s/Z8J6FXT
  - In person
  - Via email
  - Snail mail!
  - **...**

#### Micro-45 Survey

Home

#### Link on Website www.microsymposia.org/micro45



#### Thanks

- Literally hundreds of people
- PC, ERC, Steering Committee
- All reviewers
- All submitting authors
- All presenters
- All attendees
- Many others (see my note)
- Strong and thriving community effort

#### Thanks

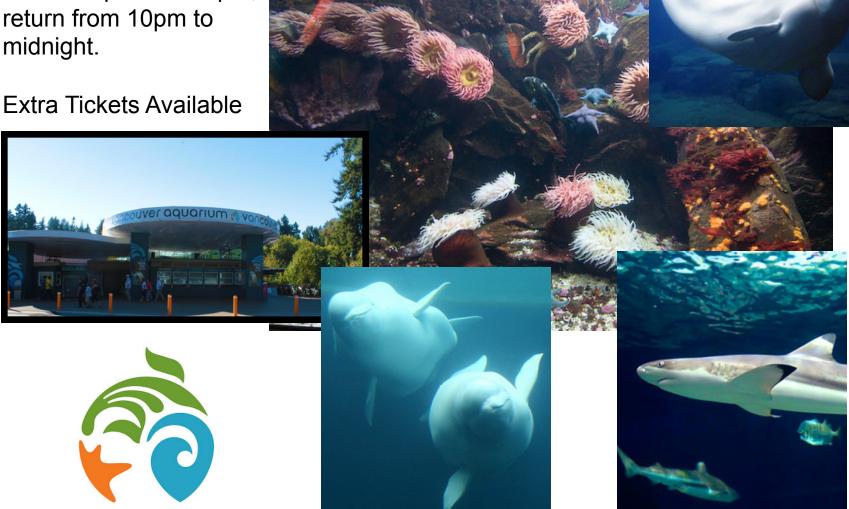
- These slides were prepared in part by
- Vivek Seshadri, Carnegie Mellon
- Chris Fallin, Carnegie Mellon
- Justin Meza, Carnegie Mellon

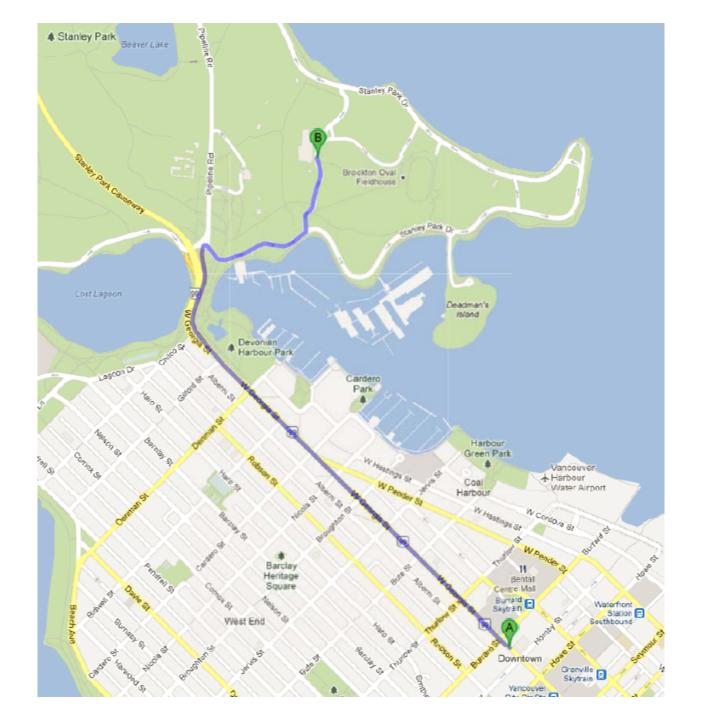
#### Thank You.

Onur Mutlu
PC Chair
December 3, 2012
Vancouver, BC, Canada

#### Vancouver Aquarium

Tonight – Buses leave from 6:45pm to 7:45pm, return from 10pm to midnight.



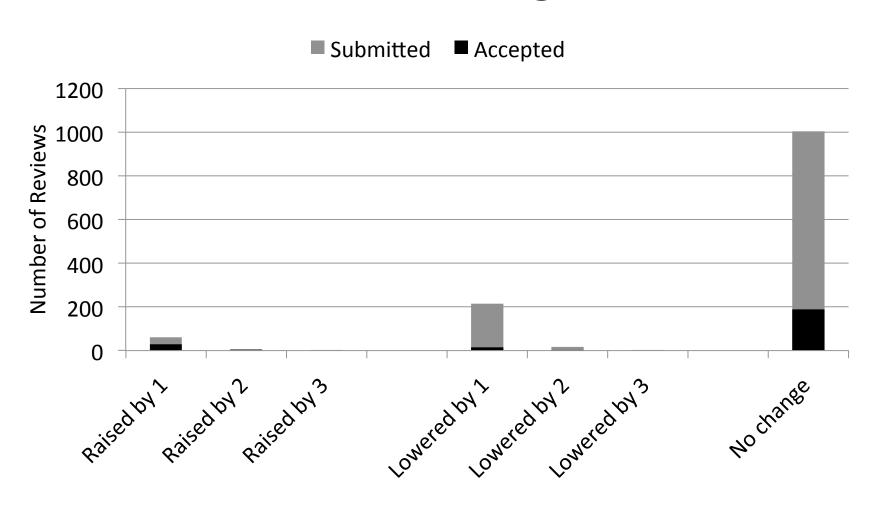


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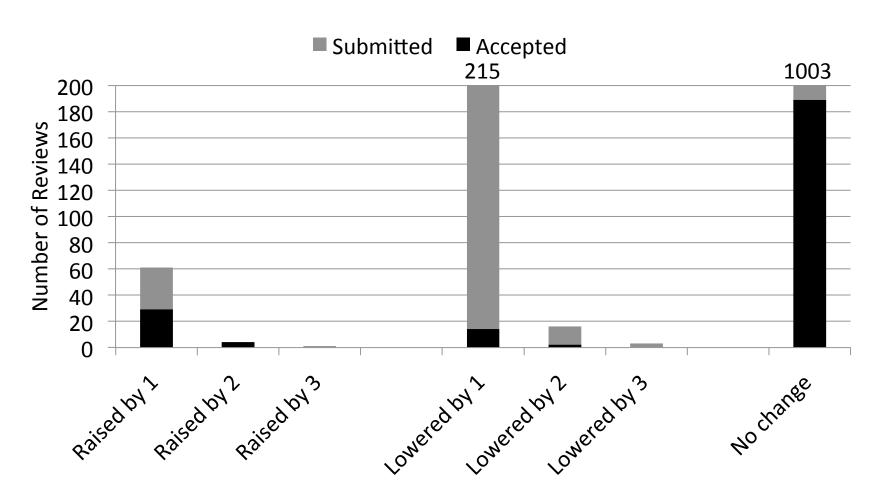
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### Additional Data

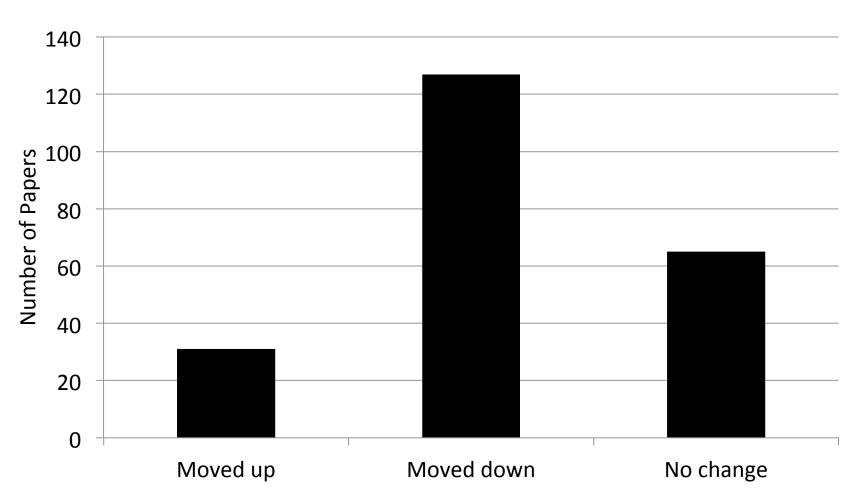
# Reviews Categorized by Magnitude of Score Change



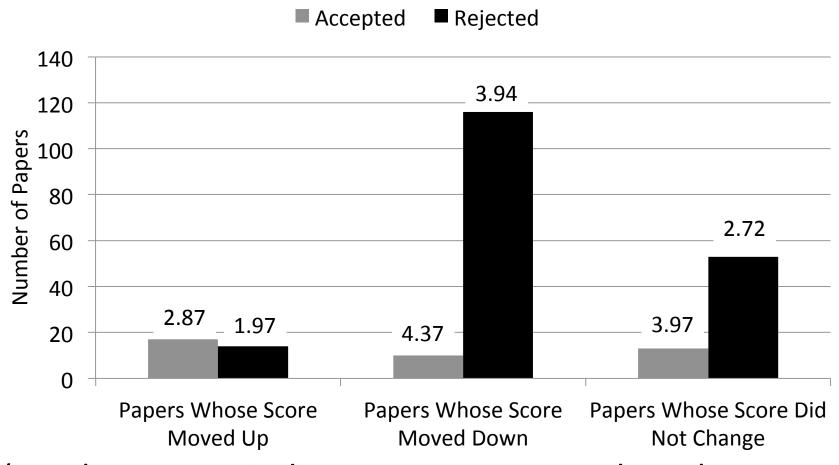
# Reviews Categorized by Magnitude of Score Change (Detail)



# Papers Categorized by Post-Rebuttal Avg. Score Change Direction



# Papers Categorized by Post-Rebuttal Avg. Score Change Direction



(number on top indicates average pre-rebuttal score across all papers in the respective group)