

Exception Handling

18-849b Dependable Embedded Systems

Charles P. Shelton

March 9, 1999

Required Reading: Romanovsky, Alexander; Xiu, Jie; Randell, Brian;
*Exception Handling in Object-Oriented Real-Time Distributed
Systems*

**Carnegie
Mellon**

Overview: Exception Handling

◆ Introduction

◆ Key concepts

- Known versus Unknown exceptions
- Forward and Backward error recovery
- Robust Exception Handling versus Real-Time System Constraints

◆ Tools / techniques

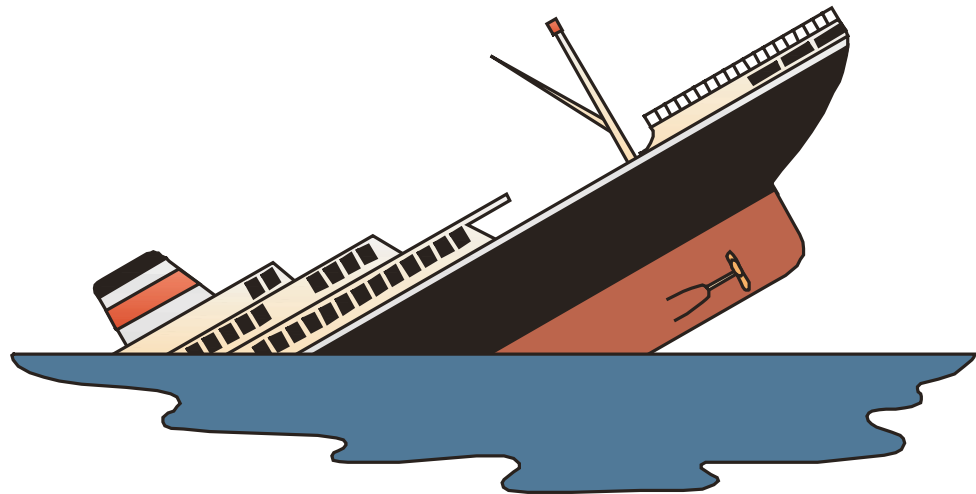
- Dependability Cases
- Xept

◆ Metrics

- Ballista

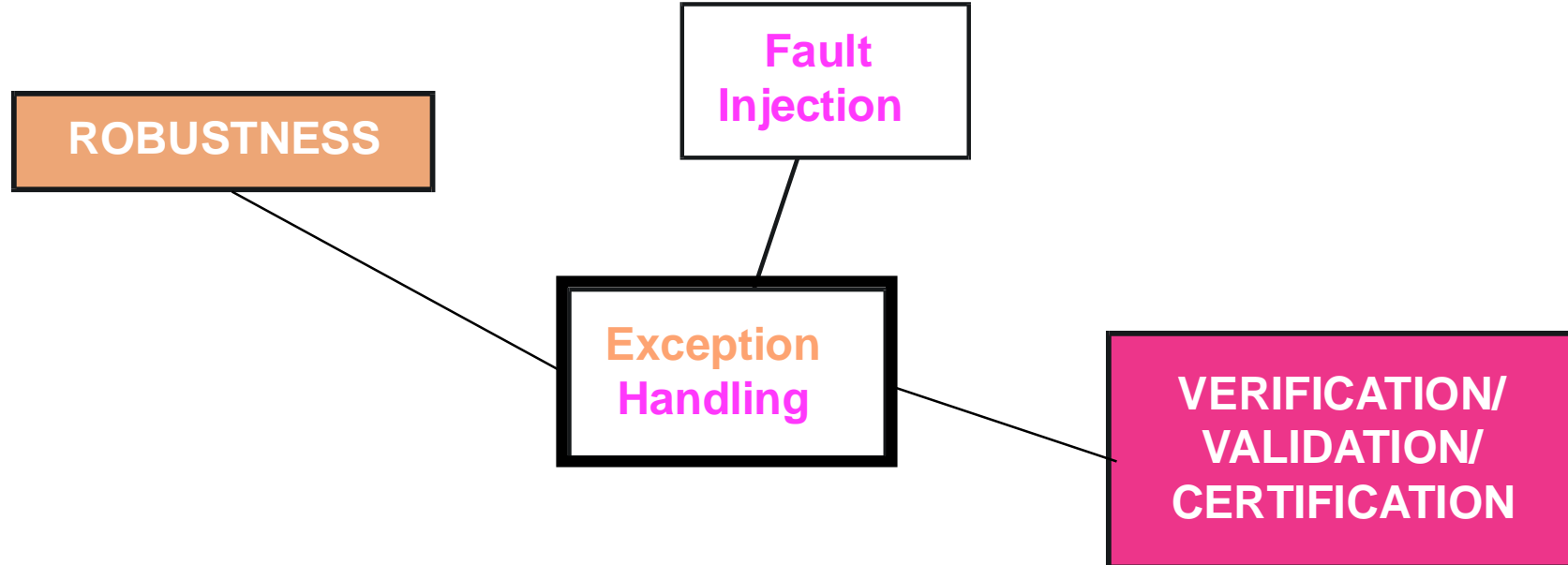
◆ Relationship to other topics

◆ Conclusions & future work



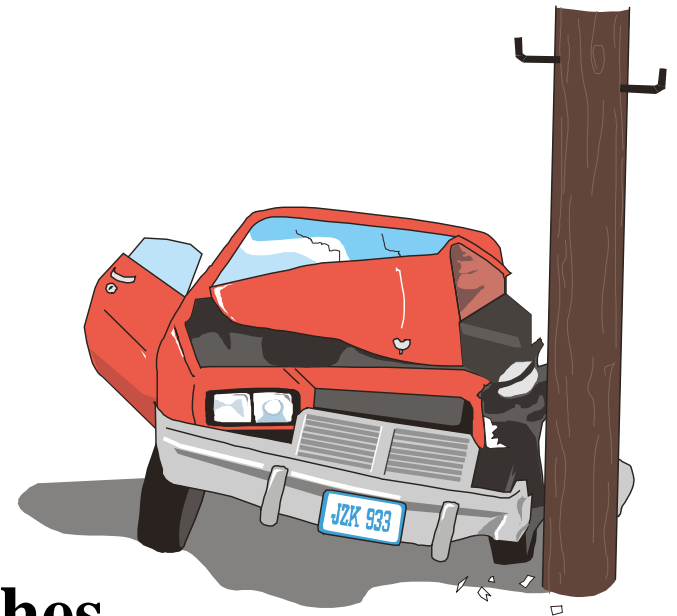
YOU ARE HERE

- ◆ **Exception Handling is a method of achieving Robustness:**



Introduction: Exception Handling

- ◆ **Exception Handling is the method of building a system to detect and recover from exceptional conditions**
 - Instances of things occurring outside the specifications of normal operation
 - Incorrect input
 - Memory/Data corruption
 - Software defects
 - Environmental anomalies, etc.
- ◆ **Exception failures are estimated to account for up to 2/3 of system crashes and 50% of security vulnerabilities**



Known versus Unknown Exceptions

◆ Known exceptions

- Exception handlers can be written for exceptional conditions the designers know are likely to occur
- Code reviews, walkthroughs, and testing can illuminate more conditions that can be accounted for
- e.g. checking for null pointers, validating inputs to modules, assuring files exist before attempting to read/write to them, etc.

◆ Unknown exceptions

- Designers cannot achieve complete coverage of all exceptional conditions
- What about complex situations no one could anticipate?
- Build in graceful degradation to exception handlers to minimize damage



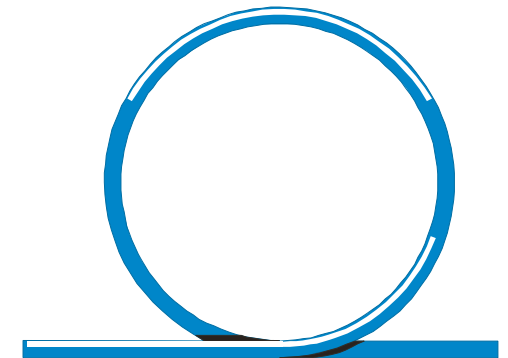
Forward and Backward Error Recovery

◆ Forward Error Recovery: Programmed Exception Handling

- When an exceptional condition is reached, call exception handler to recover from error condition, but try to continue execution from error state back to normal operation
- Implemented for known exceptional conditions at design stage

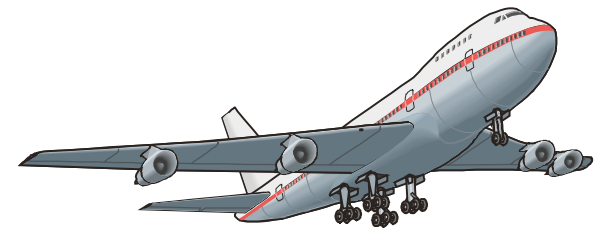
◆ Backward Error Recovery: Default Exception Handling

- Catch-all for unanticipated exceptions and design defects
- Exception handler halts execution and tries to return system to a previous known state
- Good for protecting against transient and intermittent errors, where simply retrying the operation will fix the problem



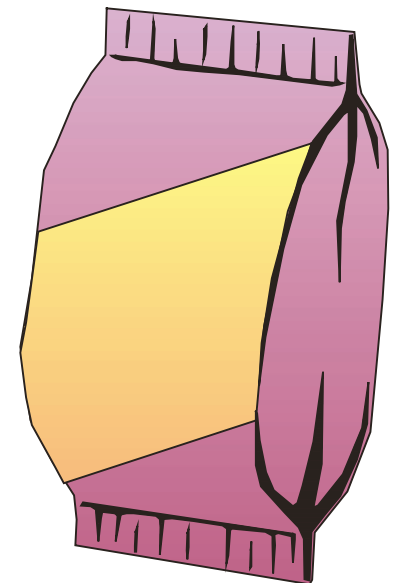
Exception Handling vs. Real-Time Systems

- ◆ **Robust Exception Handling may require extra processing time**
 - Transferring control from module to exception handling routine
 - Resetting system state and retrying an operation
- ◆ **Real-Time Systems may not tolerate delays due to exception handling**
 - Exception Handling routines may not be factorable into deadline constraints because of unpredictability of whether exceptions will occur
 - More bulletproof exception handling may require longer code and longer processing time to account for different execution paths



Tools / Techniques

- ◆ **No rigorous methods of exception handling design exist**
- ◆ **Major problem is covering all exceptional cases**
 - traditional software engineering techniques; code walkthroughs, code reviews, testing
 - Dependability cases develop taxonomies for improving coverage
- ◆ **Xept**
 - Method of automatically generating software wrappers correcting for exceptional inputs before passing them to the software module
 - Useful for COTS software where source code is not available for modification but you want more exception handling than module provides



Metrics

◆ Measuring a system's level of exception handling is difficult

- How can we know a system handles all exceptional conditions if we cannot think of all possible exceptions?
- Exhaustive testing is intractable

◆ Ballista

- Black box method of testing software modules' responses to exceptional inputs
- Measured relative robustness of POSIX operating systems
- Limited to repeatable exceptions at the module level; exceptions occurring from complex interactions not covered
- Exceptional inputs must be generated by developers



Relationship To Other Topic Areas

- ◆ **Robustness**

- ◆ **Fault Tolerant Computing**

- ◆ **Software Fault Tolerance**

- ◆ **Checkpoint/Recovery**

- Method of handling exceptions by returning system to a known state

- ◆ **Security**

- Robust exception handling will patch a lot of security holes

- ◆ **Human Interface/Human Error**

- Humans are one of the biggest sources of exceptional inputs to a system
- Exception Handling at the HCI level may prevent propagating faults



Conclusions & Future Work

◆ Conclusions

- Coverage is a major problem. It is unrealistic to cover all exceptional conditions because they are not predictable
- It is difficult to develop strategies to safely handle exceptions for unanticipated situations
- Tradeoff between developing robust exception handlers and meeting real-time system deadline constraints

◆ Future Work

- Xept and Ballista: Generating software wrappers for trapping exceptional inputs to COTS software modules
- Using object-oriented techniques to structure designing exception handlers

Paper: Exception Handling in RT Systems

- ◆ **Trying to apply Object-Oriented techniques to exception handling in real-time distributed systems**
- ◆ **Uses coordinated atomic (CA) actions to encapsulate all operations and exception handling procedures**
 - CA actions coordinate and operate on system objects
 - CA actions manage real-time deadlines and confine scope of exception handlers
- ◆ **Developing a more structured approach to resolving exception handling and real-time constraints**
 - Addresses both timing constraints and exceptions as well as data and procedure exceptions